



## **Judicial Branch of the Navajo Nation**

# **FISCAL YEAR 2014**

## **First Quarter Report**

**(October 1, 2013 – December 31, 2013)**

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**[www.navajocourts.org](http://www.navajocourts.org)**

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## Message from the Chief Justice

The Judicial Branch reached an important milestone during the first quarter of Fiscal Year 2014 when the plan of operations for the Office of Probation and Parole Services (PPS) was approved by our oversight committee in December 2013. The approval of the plan of operations establishes PPS within the Administrative Offices of the Court. The plan of operations specifically includes within the tasks of PPS the facilitation and/or performance of needs assessments and case management, referrals and supervision for clients/offenders. The addition of these tasks redefines the role of PPS within the Navajo Nation justice system as it focuses the duties of PPS not only on following court orders, but also on designing individualized solutions toward restoration and healing. There are 29 probation officers, including three senior probation officers, under the supervision of the Chief Probation Officer. In Fiscal Year 2012, the caseload for the probation officers was 9,912, including adults and juveniles.

Navajo Technical University made history when it became the first university to be offered on the Navajo Nation. The university possesses the capabilities to educate our Navajo People on subjects that are of value for our society. We at the Judicial Branch would like to tap into that capability. As a result, we have begun working with the university to offer a pilot program for a bilingual Navajo-English transcription certification program using Indian Self-Determination Act funds. Our courts are in need of people who are able to transcribe court proceedings in both English and Navajo, as oftentimes, our records lack vital information because we do not have bilingual court reporters. Further, the university and the branch will work on a bilingual Navajo-English interpreter certification program and, if feasible given limitations of resources, a translation program. Not only will this partnership provide a vital service for the courts, this type of training will allow for accurate and professional recording and preservation of oral histories, which are the basis of Diné Fundamental Law. We look forward to this partnership being implemented and the first students completing the program. I thank Dr. Elmer Guy and the staff at NTU for their commitment to this pilot project.

While the Auditor General has reported on findings related to the construction of the justice centers in Tuba City and Crownpoint, the findings do not adequately reflect the condition of the court buildings. My staff informed the Budget and Finance Committee that our district court staff are running into numerous problems with the facilities which must be addressed. We have also informed the committee that if there are to be additional audits on the facilities, we want to be involved. As I understand, the committee directed that a joint committee meeting

with the Law and Order Committee take place. The Judicial Branch must be involved in any further discussions regarding these facilities as they directly affect our programs and our staff. The question of how operations and maintenance for these facilities will be funded remains an outstanding issue that must be addressed.

The Judicial Branch has received an Edward Byrne Memorial Justice Assistance Grant in the amount of \$78,223. The grant funds will be used to enhance the current Navajo Nation Integrated Justice Information Sharing Project with the online application of the JustWare software JusticeWeb. JusticeWeb will allow for e-payments of fines, costs and fees as well as E-Filing, E-View and E-Discovery of court documents by the justice community stakeholders as well as the general public.

The Judicial Branch is also elated to announce that a Coordinated Tribal Assistance Solicitation (CTAS) Grant has been awarded to the Alamo-To'hajiilee Healing to Wellness Court to enhance services and initiate a veterans' outreach project. The award in the amount of \$673,348 will be used to hire a veterans' outreach coordinator who will begin the work of linking our Armed Services veterans with resources that they need to access services to reach optimal physical, mental, and spiritual wellness. Furthermore, part of the grant will also be used to host a Justice Summit. The justice summit will bring all stakeholders of the justice community together to plan, coordinate, and strategize services to keep our Nation safe.

## I. Contact Person

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Judicial Branch of the Navajo Nation  
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## II. Vision, Mission and Strategic Goals

### VISION

It is our vision that the present judicial system, consisting of an adversarial-style tribal court system modeled on Anglo courts, a peacemaking system modeled on Diné original dispute resolution methods, and Probation and Parole Services, will fully embody the values and processes of the Navajo People, including family and clan-centered Navajo values. Our justice system as a whole will truly reflect the heart and soul of the Diné. It will be one that the People can recognize as their own and fully participate in the spirit of nábináhaazláago.

### MISSION

The Judicial Branch will provide stability in the Navajo Nation government by providing court, peacemaking, and probation and parole services, to adjudicate cases, resolve disputes, rehabilitate individuals and families, restore harmony, educate the public, agencies, services and other governments in Diné bi beenahaz' áanii, and protect persons and property pursuant to Navajo Nation laws, customs, traditions, and applicable federal laws. Pursuant to Diné bi beenahaz' áanii, the Judicial Branch will carefully develop a justice system that fully embodies the traditional values and processes of the Navajo People.

### STRATEGIC GOALS

- One:* As the Navajo Nation court, peacemaking, and probation and parole system, we will ensure the continued provision of efficient, fair, and respectful judicial services.
- Two:* We will ensure access to the judicial system by the public.
- Three:* We will address the infrastructure needed to maximize partnerships across branches, agencies, and communities.
- Four:* We will develop a judicial system in accordance with Diné bi beenahaz' áanii that fully incorporates Navajo values and processes.
- Five:* We will address facilities needs.

### III. Judicial Branch Directory

#### **ADMINISTRATIVE OFFICE OF THE COURTS**

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##### **OFFICE OF THE CHIEF JUSTICE**

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#### **NAVAJO NATION PROBATION SERVICES**

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Eleanor Shirley, Associate Justice

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#### **TUBA CITY JUDICIAL DISTRICT**

P.O. Box 725

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Alice Huskie, Court Administrator

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#### **KAYENTA JUDICIAL DISTRICT**

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Kayenta, AZ 86033

Roy J. Tso, Jr., Judge

Lavonne K. Yazzie, Court Administrator

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#### **ANETH JUDICIAL DISTRICT**

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**CHINLE JUDICIAL DISTRICT**

P.O. Box 547  
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Rudy I. Bedonie, Judge  
Cynthia Thompson, Judge  
Vanessa Mescal, Court Administrator

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**DZIL YIJIIN JUDICIAL DISTRICT**

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Victoria R. Yazzie, Judge  
Vanessa Mescal, Court Administrator (Acting)

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**DILKON JUDICIAL DISTRICT**

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Wilson Yellowhair, Judge  
Darlene LaFrance, Court Administrator

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**WINDOW ROCK JUDICIAL DISTRICT**

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Window Rock, AZ 86515

Carol K. Perry, Judge  
Geraldine V. Benally, Judge  
Barbara Willeto, Court Administrator

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Family Court (928) 871–6471/7562  
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**SHIPROCK JUDICIAL DISTRICT**

P.O. Box 1168  
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Genevieve Woody, Judge  
Ethel S. Laughing, Court Administrator

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Family Court (505) 368–1287  
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**CROWNPOINT JUDICIAL DISTRICT (inc. Pueblo Pintado Circuit Court)**

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Rena Thompson, Court Administrator

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**RAMAH JUDICIAL DISTRICT**

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Esther Jose, Court Administrator

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**ALAMO / TO'HAJIILEE JUDICIAL DISTRICT**

Alamo Court  
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Alamo, NM 87825  
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Regina C. Begay-Roanhorse, Court Administrator

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To'hajiilee Court  
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Canoncito, NM 87026  
William J.J. Platero, Judge  
Regina C. Begay-Roanhorse, Court Administrator

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## IV. Administrative Office of the Courts

### A. Special Projects

- Successfully coordinated the Judicial Branch 2013 Conference held October 29-31, 2013, at the Isleta Resort and Casino in Albuquerque, New Mexico.
- Participated in Law and Order Committee work sessions in reference to bond financing, the Tribal Law and Order Act, and the Violence Against Women Act to determine the Navajo Nation's participation.
- Participated in Budget and Finance Committee meetings and work sessions in reference to bond financing, audit of the new justice centers, sequestration reports, etc.
- Coordinated discussions between the Navajo Nation, Frye Law Firm, and Mr. Steve Boos regarding the Judicial Branch P.L. 93-638 funding. There is a potential law suit to be filed against the federal government for not fulfilling its contractual obligations for contract support costs and operations & maintenance.
- Assisted with filling the accounting manager position at the Administrative Office of the Courts and the court administrator position at Dzil Yijiin Judicial District.
- Participated in work sessions to provide technical assistance in selecting, planning, and implementing a new Division of Social Services (DSS) case management application. The Justware application was selected. The NNIJISP team will assist DSS by cost sharing resources, i.e. use of 10 Mbps metro-ethernet connection at all judicial districts, use of Judicial Branch in-house expertise of Justware, etc.
- Met with Division of Public Safety in reference to their assessment. Discussions continued in building upon the current network infrastructure. NNIJISP is in support of developing and implementing interface between CODY and Justware.
- Coordinated meeting between the Judicial Branch, Walden Structures, NTUA, Bighorse Engineering, Shiprock Judicial District, and Crownpoint Judicial District in reference to delivery of new modular buildings at Window Rock, Shiprock, and Pueblo Pintado. Each location was reviewed and status reports provided. It was determined that the Shiprock location for site prep and delivery of the modular building would be completed in January 2014. The Window Rock location is scheduled for completion in March 2014. Lastly, the Pueblo Pintado project is pending additional information.

#### K120725 – BIA Contract

- The budget revision request for a 3% GWA (effective October 1, 2013) was approved by the Navajo Nation Council for 22 bailiffs and four probation/parole staff funded under the BIA contract.
- Funds allocated from a one-time funding were used to cover meals, lodging, audio visual, and refreshments for the Judicial Branch 2013 Conference in October 2013 at the Isleta Resort & Casino in Albuquerque, New Mexico.
- A request for contract extension for the tribal courts to December 31, 2014, was submitted. The extension on AFA is within the contract period and pursuant to the FY2014 NNBIPM. The transmittal letter issued by Navajo Nation Contract & Grants stated that "Judicial Branch was advised to fully expend funds and close out AFA to avoid future extension request."

#### K060733 – BIA Contract

This contract has yet to be officially closed by Navajo Nation Contract Accounting pending IDC adjustments, reconciling, and completion of a *Release of Claim* form by the Judicial Branch.



### K100803, K110801, K120801, and K120802

Two grant adjustment notices (GAN) were approved by USDOJ for the Juvenile Dependency, Diversion & Alternative Sentencing Peacemaking Project. The GAN removed the high-risk designation status due to on-site monitoring. A budget detail worksheet was prepared to file a budget modification for the Peacemaker Program BU#K100803.

### 164 (b) Review

- Netro, Inc., \$10,494.75, BU#118019-7520
- All Indian Environmental, LLC, \$44,415.00. BU#102010-6540
- Whippoorwill Chapter, \$7,408.80, BU#K120725-5120

### External Grants

- All grants were submitted in a timely manner and accepted by grantee.
- The grants administrator worked with the Peacemaking Program to formulate a process to streamline payment and financial processes for community peacemakers to get paid for services rendered. An orientation was provided to the traditional program specialists on the new process.
- The Judicial Branch was awarded \$673,348 for Purpose Area 3 of CTAS 2013. Purpose Area 3 supplements the Alamo/To'hajiilee Healing to Wellness Court with a veterans outreach coordinator. The award was received through the Department of Corrections in late October 2013. The award began the 164 review process.
- A grant management meeting was held on all grants and persons involved. Updates were provided, challenges discussed, and solutions formulated.
- The Judicial Branch 2013 JAG award has been making its way through the 164 review process. It passed a couple of committees and is before the Budget and Finance Committee for a partial waiver of the Indirect Cost.
- Research is ongoing for the CTAS 2014, SAMHSA, and NAHASDA grant applications.

## **B. Archiving**

1. Accomplishments of objectives set the previous quarter

The information data technician prepared, organized, and scanned 1,500 records, including inactive personnel files for Judicial Branch Human Resources, old solicitor opinions, closed case files from 1999 for the Navajo Nation Supreme Court, and closed 2012 family court/domestic violence/civil and criminal traffic/civil/criminal and 2013 criminal traffic files for Dzil Yijiin Judicial District.

The information data technician assisted the Chinle, Window Rock, and Crownpoint Judicial Districts with retrieval of archived microfilmed records. Currently, the Judicial Branch has only one machine available to view and print documents. Other district personnel travel to the Administrative Office of the Courts to retrieve and print records from the new scanner/printer.

The information data technician received CDs from Dzil Yijiin Judicial District and Navajo Nation Supreme Court for safe keeping.

The information data technician provided assistance to IT personnel by receiving and processing IT service requests submitted by the judicial districts and Judicial Branch programs.

2. Objectives to be accomplished in the next quarter

To scan closed case files for the Navajo Nation Supreme Court.

To receive IT service request forms from Judicial Branch judicial districts, Supreme Court, and programs/departments, i.e., IT Section, Fiscal Section, Special Projects, Human Resources, Probation Services, Peacemaking Program.

To provide assistance, as needed, to the Judicial Branch IT Section, Fiscal Office, Special Projects, Human Resources, and Office of the Chief Justice.

To perform other duties as assigned.

### **C. Information Technology**

1. Accomplishments of objectives set the previous quarter

Provided continual HP AlphaServer, case management system (CMS), hardware, and other applicable network support at Ramah Court.

Provided continual personal computer support at Tuba City, Kayenta, Chinle, Window Rock, Crownpoint, Ramah, Alamo, To'hajiilee, Dilkon, and Aneth courts. Personal computer support consisted of hardware/software support and software patches/updates for personal computers.

Provided continual support for digital recording systems, archival scanning systems, and video conferencing equipment.

Provided system administration support for the New Dawn Technologies Justware computer software application for NN Supreme Court; Shiprock court, probation, prosecutor; Dilkon court, probation, peacemaking, prosecutor; Chinle court, probation, peacemaking, and prosecutor; Aneth court, probation, peacemaking; Kayenta court, probation, peacemaking, prosecutor; Tuba City court, probation, and peacemaking; Window Rock court, probation, peacemaking, prosecutor; Crownpoint court, probation, peacemaking, prosecutor; and Dzil Yijiin court.

2. Other significant accomplishments

Attended NDT Justware configuration/administrator/planning meetings.

Attended teleconference meetings with NDT personnel/project managers to continue implementation and rollout of Justware software.

Provided training for court SMEs, prosecutor SMEs, probation SMEs, and peacemaking SMEs.

Participated in Department of Law Enforcement CODY application teleconference meetings to begin re-implementation of the CODY software application to eventually integrate with the Justware application.

Provided technical support during the Navajo Nation Judicial Branch 2013 Conference in Isleta, New Mexico.

Attended VMWare VSphere 5.0 Ultimate Bootcamp training at the Phoenix Interface Technical Training facilities.

Met with Navajo Division of Social Services personnel to provide assistance with planning, implementing, and developing a Justware application for social services case management.

The Programmer Support Specialist position was filled to assist the Justware systems administrator with database maintenance and configuration.

Provided assistance to Dzil Yijiin Court when they relocated from the Pinon chapter house to Whippoorwill chapter house. The building they moved into had old OnSat equipment so it had to be dismantled. The technicians installed switches to accommodate print services and file sharing for the judge and court clerks. There is no internet connectivity at this time.

Installed and configured wireless routers for To'hajiilee and Alamo Courts to accommodate internet providers and IP address collisions.

Installed wireless router for Aneth Court.

Provided assistance in troubleshooting Wild Blue Internet Services for Alamo Court.

3. Objectives to be accomplished in the next quarter

To maintain HP AlphaServer computer support at Ramah Judicial District and Administrative Office of the Courts.

To maintain personal computer support at courts in Tuba City, Kayenta, Chinle, Window Rock, Shiprock, Crownpoint, Ramah, Alamo, To'hajiilee, Dilkon, Aneth, and Pinon.

To continue limited support for digital recorders and document archival computer systems.

To provide configuration/maintenance support to the NNIJISP Project at Tuba City, Kayenta, Chinle, Window Rock, Shiprock, Crownpoint, Dilkon, Aneth, To'hajiilee, Dzil Yijiin courts, probation, and peacemaking.

To provide limited configuration/maintenance support to the NNIJISP Project at Tuba City, Kayenta, Chinle, Window Rock, Shiprock, Crownpoint, Dilkon, and To'hajiilee prosecutor offices.

To continue maintenance/upgrades of all PCs for all judicial districts.

To provide continued support and training for NDT's Justware application at all Navajo Nation courts, peacemaking, probation, and prosecutor offices.

To deploy NDT Justware software application for rollout at Ramah and Alamo courts.

To enable video conferencing at all Navajo Nation judicial districts.

To provide technical guidance and assistance to the Division of Social Services personnel in their development, configuration, and implementation of the Justware application.

## **V. Navajo Nation Peacemaking Program**

### **A. Accomplishments of objectives set the previous quarter**

The program provided direct services to Navajo families in the courts, at the agencies, the community chapters, and in the schools. Services include Diné Traditional Peacemaking (PM), Diné Family Group Conferencing (DFGC), Life Value Engagement (LVE), Peacemaker Youth Education Apprenticeship Program (PYEAP), Teaching Traditional Dispute Resolution Curriculum (TTDRC), School Presentations (SP) and Community Outreach (CO). A total of 2,398 individuals were served this quarter.

The Central Peacemaking Program (PMP) provided technical assistance to traditional program specialists and peacemakers specifically related to direct services, provided training on the fundamental laws, provided information on Diné bi bee hazani, and maintained an information center for the Judicial Branch and communities. During this quarter, a total of 42 programs were served.

The Central PMP concentrated on making improvements on accountability and systems data reporting. Meetings were held with the program consultant to discuss an evaluation of the program as a whole. The evaluation will consist of performance measurements and the effectiveness of services, trainings, and curriculums. The evaluation tools will be provided to the program consultant.

The Peacemaking Program coordinator conducted case reviews at five districts to monitor direct services, provide instructions to improve case management, and provide guidance to maintain quality services.

The program coordinator participated in and conducted meetings and/or work sessions for planning purposes with program managers, court administrators, and traditional program specialists for overall improvements for the justice system. This included grant meetings for planning and direct service improvements and strategies. The focus was on grant funds which included \$450,000, \$435,000, and \$73,000. There was a general overview on the purpose, how the funds will be used and reporting requirements for accountability and data; discussions on peacemakers/traditional counselors training and planning by groups, proposed dates of training, instructors, selection of curriculum, recruitment/selection/evaluation of new peacemakers at 110 chapters; discussions on concerns, new approaches, and new provisions; distribution of books and material by the traditional Diné researcher; ordering of operating supplies and distribution by the central office technician; and encouragement, guidance, and instructions on the program operations, accountability, and the importance of reports and data.

The program staff and traditional program specialists gave presentations on various topics using the new PMP traditional education material during the Judicial Branch 2013 Conference in

Albuquerque, New Mexico. The presentations focused on traditional teachings based on bicultural approaches to reading and understanding. The presentations were well received by Judicial Branch staff.

The program provided technical assistance and helped the traditional program specialist at Kayenta District Court provide awareness training on domestic violence for the community of Kayenta, Arizona. The bi-culture training manager assisted with compilation of resources and writing of Diné traditional instructional materials on domestic violence used during the training.

The program provided training on Diné K'ehgo Tséłkéí dóó Chikéí Ídlį and values of Ahééhwiindzin to peacemakers and court staff at Ramah District. The training focused on respect and appreciation of self through growth and development in life and destination and on traditional concepts and perspectives in understanding Life Value Engagement through traditional stories related to Hozhóqjii and Naayéé'jii, Naayéé' Nibézdjįd, and the Fundamental Laws of Diné.

The program, with help from Shiprock traditional program specialist Ray Deal, is working with Dr. Larry Emerson of Hogback, New Mexico, in planning and structuring instructional training to best accommodate program staff, traditional program specialists, and prospective peacemaking traditional counselors to pursue the holistic values of self-identity through Hozhóqjii Iiná Way of Life training. The compilation and curriculum preparation for the next round of traditional counselors training is being shared and developed by the program staff, TPS, and consultants.

Materials were researched, compiled, and written respectfully through traditional aspects and perspectives of Diné teachings through developmental and experiential processes. The materials complement one another and concentrate on specific areas of instructional learning relevant to the peacemaking program services and processes. Development of traditional materials is an on-going process. The following have been developed:

- a. Diné Language-A Communication Tool: Training and Orientation for Traditional Counselors
- b. Parents as Traditional Teachers
- c. Life Value Engagement Domestic Violence
- d. A Traditional Aspect and Perspective Shiniighiih
- e. K'ehgo Tséłkéí dóó Chikéí Ídlį (adopted but in the process of amendments)

The bi-culture training manager:

- Gave presentations to Peacemaking Program and Judicial Branch staff on traditional values of Life Value Engagement as devised in the Plan of Operation. The goal is to train staff on traditional aspects and perspectives and the applicable values for awareness and better understanding as it applies to peacemaking and the courts.
- Provided training on the Peacemaking Program's approach to amending Title VII and its relevance to the specifics of amendments encompassing the appropriateness, meaning, and effectiveness of the Diné language as relevant to the Peacemaking Program and the courts.
- Collaborated with the To'hajilee traditional program specialist to give a presentation on Domestic Violence Prevention in the Workplace during the 10<sup>th</sup> Annual Safety Conference in Albuquerque, New Mexico. The prevention approaches shared were based on actual events within the communities.
- Assisted the Aneth traditional program specialist on the traditional principle of Shiniighiih and its values to Diné way of life as a means of reverence and traditional practice. This presentation was held in December for court staff and peacemakers.

- Assisted the Supreme Court with traditional materials, interpreting traditional concepts, and written Navajo.
- Gave a presentation to the Navajo Nation Bar Association on the basic principles of the Peacemaking Program and its services to the Navajo public. The presentation covered areas of basic fundamental principles as to how it resolves disputes within the communities, with families and individuals, as well as working and accommodating the Navajo Nation courts.

The traditional Diné researcher:

- Compiled and distributed resource materials to each district peacemaking program. Materials included books, posters, games, DVDs, CDs, flash drives, markers, and DVD/CD storage cases.
- Produced teacher/peacemaker curriculum and traditional Navajo curriculum binders for distribution to all TPS.
- Created identification badges for all traditional program specialists to use as needed.
- Worked with methods to produce DVDs to accommodate requests for education related to various traditional Diné teachings, i.e., Kinaaldá puberty ceremony of a young lady. In the process, contact was made with Mr. Chris Burnside on how to produce DVDs professionally.
- Provided technical assistance during an orientation provided by Kayenta, Shiprock, and Aneth traditional program specialists at the Northern Medical Center Hogan in Shiprock, New Mexico. A total of 12 people learned about PMP services and processes, intervention and prevention services, one-on-one, group, and Diné family group counseling, and how Diné traditional peacemaking is conducted utilizing Life Value Engagement. The traditional Diné researcher explained the traditional and western perspectives of “when does learning begin?”
- Developed a list of resources for use by Judicial Branch staff by identifying entities that work closely with the Peacemaking Program in delivering services. The traditional Diné researcher made contacts with local programs and provided presentations on the peacemaking program.

The Community Development Specialist position is funded by the Tribal Court Improvement Program. During this quarter, he:

- Worked with various communities on the Navajo Nation to recruit peacemakers and, in collaboration with the TCIP advisory committee members, identified remote communities and families throughout the Navajo reservation where services can be provided.
- Met with representatives of Division of Social Services, Department of Behavioral Health Services, Navajo Housing Authority, and Indian Health Services to establish cooperation and a strong working collaboration with these entities.
- Met with Pinon Unified School parent liaison Leland Bitsui to share information on the peacemaking referral process. Mr. Bitsui reported that the school will be referring students with attendance and behavior issues to peacemaking.
- Met with Chinle Navajo Housing Authority director Mary VanDever and Chinle District traditional program specialist Russell Thomas regarding referrals to peacemaking. NHA is interested in referring residents that have issues within their homes.
- Provided LVE direct services to a student with truancy issues at Tsé Bit'a'í Middle School in Shiprock, NM. He met with the student four times, including two times with his mother, to provide traditional teachings. The student responded well to these sessions and reports are that he is applying these teachings to his life and beginning to make positive changes.
- Currently working on scheduling training by Division of Social Services on referrals from social services to peacemaking. Mr. Thomas Cody of DSS recommends that all traditional program specialists be well trained by DSS on the referral process before referrals start. The recommendation was presented to the peacemaking coordinator.

- Attended several community chapter meetings to promote the TCIP Project; the response has been positive.

B. Other significant accomplishments

The Dilkon Judicial District has two peacemakers who actively provided direct services in individual and group Life Value Engagement to students and their parents. Another peacemaker provided education on domestic violence and sponsored classes in anger management. The traditional program specialist and two peacemakers participated in the 18<sup>th</sup> Annual Native American Grant School Association Conference.

The To'hajiilee traditional program specialist provided traditional teachings on K'e and clanship at To'hajiilee Community School using the curriculum and traditional training material. This included self-identity, respect for self and others, and understanding the importance of family principles and values. He also worked on getting memorandums of agreement implemented at Painted Sky Elementary School, Jimmy Carter Middle School, and Wingate High School.

The Kayenta Peacemaking Program provided services to individuals and families facing difficult issues related to physical, emotional, and verbal abuse by family members.

C. Objectives to be accomplished in the next quarter

To recruit and train peacemakers on program services and traditional counseling certification.

To complete background check on peacemakers.

To provide information on the plan of operation and program services and coordinate services at schools and community chapters.

To provide services to children, families, and public.

To provide technical support and schedule meetings/trainings with peacemakers and the judicial districts.

To get memorandum of agreements implemented in the schools.

To order library reference and resource materials to be utilized by peacemakers, traditional counselors, and staff of the Judicial Branch and Peacemaking Program.

To help peacemakers become involve with local resources and programs and provide traditional teachings.

To learn and use the Justware case management system.

## VI. Navajo Nation Probation Services

### A. Accomplishments of objectives set the previous quarter

The Probation and Parole Services audited cases in To'hajiilee and Ramah in the last quarter. The first case audit in FY2014 was at Dilkon on November 6, 2013. An outline of findings and corrective actions were provided to the probation officer. Periodic follow ups will be scheduled to ensure that proper case management is being followed.

The senior probation officers and CPO are developing a new form to be included as an addendum to the current annual employee performance evaluation. The form will specifically address work performance of probation officers.

Staff of Navajo Nation Probation and Parole Services completed seven hours of training in the Sex Offender Registration and Notification Act (SORNA). The training was conducted by the SORNA committee and Navajo Department of Law Enforcement.

With a vote of 3-0-0, the Law and Order Committee of the Navajo Nation Council successfully passed the Plan of Operation for the Navajo Nation Probation and Parole Services on December 16, 2013.

### B. Other significant accomplishments

CPO attended a three-day faculty development training hosted by Fox Valley Technical College Criminal Justice Department. Director Dave Rogers selected a number of chief probation officers from across Indian Country to become faculty trainers for the tribal probation academy. Among the eight trainers selected, Navajo was invited to join the efforts of training other probation officers across Indian Country.

CPO has been meeting regularly with the three senior probation officers to provide updates and share information with each region. The group discussed issues regarding case management and collaboration of probation officers with district court personnel. Resolutions were identified and addressed to work out concerns and issues.

CPO attended two court administrator meetings to obtain information regarding district activities and events. She shared information about the district manager's activities and probation services events being planned in each district.

The probation services staff attended the Judicial Branch 2013 Conference at the Isleta Resort and Casino the latter part of October 2013. There were many positive compliments by probation officers regarding sessions, in particular the ones related to their areas of work.

CPO conducted the first quarterly meeting with the probation officers in Chinle, Arizona. She disseminated information on the budget, probation events and activities, district case management, projects and tasks that need to be completed, etc. Quarterly meetings for calendar year 2014 were scheduled. Apache County Justice of the Peace Victor Clyde gave a motivational presentation on positive working relationships and collaboration and respect for self and co-workers. The probation officers expressed gratitude for Judge Clyde's presentation.



CPO attended a one-day grant meeting with grants administrator Raquel Chee and other grantees from the districts currently utilizing grants to provide direct services to clients. Probation and Parole Services has a couple of grants and collaborates with the districts when needed.

The Chinle Probation and Parole Services hired Valarie Brown as its new office technician. Ms. Brown completed her first 30 days and appears to be adjusting well. She also completed one-day training for office technicians on November 13, 2013.

District probation and parole services staff worked diligently on cases, tended to office duties, updated case management systems, conducted outreach services with local schools and chapters, attended the quarterly meeting and SORNA training, coordinated educational forums, and assured that clients are in compliance with court orders.

C. Objectives to be accomplished in the next quarter

To coordinate committees among probation staff to complete pending tasks and projects.

To fully develop and structure the probation unit accordingly.

To audit and review case management system for probation officers.

To coordinate future in-house trainings for probation officers.

To structure and coordinate a working relationship with the Peacemaking Program.

To coordinate a training session on statistical reporting in January 2014.

## VII. Courts of the Navajo Nation

### A. Supreme Court of the Navajo Nation

#### 1. Supreme Court Caseload Statistics

##### a. Civil

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
(1) Cases Filed	9	6	4	19
-Contract .....				3
-Decedent Estate.....				2
-Domestic Relations .....				2
-Election.....				1
-Employment/Labor .....				2
-Tort.....				1
-Writs .....				5
-Reconsideration(s).....				3
(2) Cases Completed	5	3	10	18
(3) Hearing(s) Held	2	1	2	5
(4) Total Decisions this Quarter:				
(a) Memorandum Decisions	1	1	1	3
(b) Orders	6	10	10	26
(c) Opinions	0	0	0	0

##### b. Criminal

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
(1) Cases Filed	0	0	0	0
(2) Cases Completed	0	0	0	0
(3) Hearing(s) Held	0	0	0	0
(4) Total Decisions this Quarter:				
(a) Memorandum Decisions	0	0	0	0
(b) Orders	0	0	0	0
(c) Opinions	0	0	0	0

##### c. Navajo Nation Bar Association

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
(1) Cases Filed	0	16	0	16
(2) Cases Completed	0	16	0	16
(3) Hearing(s) Held	0	16	0	16
(4) Total Decisions this Quarter:				
(a) Memorandum Decisions	0	0	0	0
(b) Orders	12	20	0	32
(c) Opinions	0	0	0	0

d. Special Proceedings

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
(1) Cases Filed	0	1	0	1
(2) Cases Completed	0	0	0	0
(3) Hearing(s) Held	0	0	0	0
(4) Total Decisions this Quarter:				
(a) Memorandum Decisions	0	0	0	0
(b) Orders	0	0	0	0
(c) Opinions	0	0	0	0

e. Summary of all cases on appeal

(1) Brought Forward	:	38
(2) Filed	:	33
(3) Reconsiderations	:	3
(4) Closed	:	34
(5) Pending	:	40

<b>Pending Active Cases</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>Totals</b>
Civil	4	5	13	17	0	<b>39</b>
Criminal	0	0	0	0	0	<b>0</b>
NNBA	0	0	0	0	0	<b>0</b>
Special Proceedings	0	0	0	1	0	<b>1</b>
<b>Totals</b>	<b>4</b>	<b>5</b>	<b>13</b>	<b>18</b>	<b>0</b>	<b>40</b>

2. Oral Arguments/Hearings Held: 21

3. Pro Bono and ProHac Vice Appointments

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
Tuba City/Kayenta	1	7	1	<b>9</b>
Window Rock/Chinle	0	5	1	<b>6</b>
Crownpoint/Shiprock	1	3	2	<b>6</b>
Ramah/Alamo/To'hajiilee	4	1	1	<b>6</b>
<b>Totals</b>	<b>6</b>	<b>16</b>	<b>5</b>	<b>27</b>

4. Sales of the *Navajo Reporter*

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
Volume 1	\$0	\$0	\$0	<b>\$0</b>
Volume 2	\$0	\$0	\$0	<b>\$0</b>
Volume 3	\$0	\$0	\$0	<b>\$0</b>
Volume 4	\$0	\$0	\$0	<b>\$0</b>
Volume 6	\$0	\$0	\$0	<b>\$0</b>
Volume 7	\$0	\$0	\$0	<b>\$0</b>
Volume 8	\$0	\$0	\$0	<b>\$0</b>
Tax & Shipping	\$0	\$0	\$0	<b>\$0</b>
<b>Totals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

5. Accounting of Fees and Miscellaneous Funds

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
Fines	\$0	\$0	\$0	<b>\$0</b>
Photocopying	\$0	\$0	\$0	<b>\$0</b>
Certifications	\$0	\$0	\$0	<b>\$0</b>
Filing Fees	\$600.00	\$180.00	\$180.00	<b>\$960.00</b>
Postage	\$0	\$0	\$0	<b>\$0</b>
Other: Passport/Fees	\$100.00	\$250.00	\$200.00	<b>\$550.00</b>
<b>Totals</b>	<b>\$700.00</b>	<b>\$430.00</b>	<b>\$380.00</b>	<b>\$1,510.00</b>

6. Passport applications accepted by the Navajo Nation Passport Acceptance Office

	<b>Oct</b>	<b>Nov</b>	<b>Dec</b>	<b>Total</b>
Michael V. Smith	4	10	7	<b>21</b>
<b>Total</b>	<b>4</b>	<b>10</b>	<b>7</b>	<b>21</b>

7. Accomplishments by Supreme Court Justices

On October 7 to 11, 2013, Chief Justice Yazzie participated in the National American Indian Court Judges Association (NAICJA) 44<sup>th</sup> National Tribal Judicial and Court Clerks Conference & Annual Meeting in Cabazon, CA. He addressed the conference during the plenary session entitled "Maintaining Tribal Justice System Cultural Integrity in the 21<sup>st</sup> Century."

On October 17 to 18, 2013, Chief Justice Yazzie and Associate Justice Eleanor Shirley participated in the work session in Albuquerque, New Mexico, to revise the Judicial Branch Employee Policies and Procedures. Discussions focused on changes and/or updates to the existing policies. In attendance were Judicial Branch court administrators, peacemaking coordinator, director of human resources, director of special projects, and district staff attorneys.

On October 28, 2013, and November 5, 2013, Chief Justice Yazzie met with attorneys Paul Frye, Tom Christie, Steve Boos, Dana Bobroff of NNDOJ, Associate Justice Eleanor Shirley, associate attorney Josephine Foo, and director of special projects M. Teresa Hopkins regarding the P.L. 93-638 funding for the Judicial Branch in Albuquerque, New Mexico.

From October 28 to November 1, 2013, Chief Justice Yazzie and the entire Judicial Branch staff participated in the Judicial Branch 2013 Conference at the Pueblo of Isleta Resort and Casino in Albuquerque, New Mexico. All judges, staff attorneys, court administrators, and employees of the Judicial Branch and members of the Law and Order Committee were in attendance. Chief Justice Yazzie and Associate Justice Eleanor Shirley gave presentations on grazing, land and probate, and restorative justice during the conference.

On November 14 to 16, 2013, Chief Justice Yazzie attended the NAICJA and Casey Family Programs Indian Child Welfare Program judicial roundtable discussions. Arizona was selected as one of the priority states for judicial roundtables involving tribal and state juvenile court judges. There are seven counties in Arizona that have a high number of

Indian children in foster care. Discussions were on issues and practices concerning Indian children who appear in courts.

On November 19 to 23, 2013, Chief Justice Yazzie participated in a panel discussion at the Boston College Law School, Boston, Massachusetts, by invitation from Native American Law School Students Association co-presidents Elizabeth Fegreus and Omar Arnouk, Dean Vincent D. Rougeau, and law school students. The panel consisted of experts on Indian and constitutional law and explored the interplay of Indian, state, and federal laws in the wake of the recent United State Supreme Court decision in *Adoptive Couple v. Baby Girl*.

On December 12, 2013, Chief Justice Yazzie, Associate Justice Eleanor Shirley, and Associate Justice (by appointment) William J.J. Platero heard oral arguments on SC-CV-54-13 in Tuba City, Arizona. The justices were accompanied by court clerk Michael Smith, law clerk Laverne Garnenez, and To'hajiilee staff attorney Alisha Thompson. A decision on the case is expected at a later date.

On December 19 to 20, 2013, Chief Justice Yazzie and Associate Justice Eleanor Shirley attended the NASBA Conference in Albuquerque, New Mexico. Chief Justice Yazzie delivered the keynote address on "Who Owns Navajo Education?" to Diné Bi Olta School Board Association, Inc. (DBOSBA) staff, executive board members, executive director Angela Barney-Nez, DBOSBA President Fernie Yazzie, and former NASBA Presidents Edward T. Begay and Leonard Haskie.

At various times throughout the quarter, Chief Justice Yazzie met with executive staff on Judicial Branch issues/concerns, projects, personnel, budgets and grants.

Throughout the quarter, Chief Justice Yazzie, Associate Justice Eleanor Shirley, Supreme Court law clerk LaVerne H. Garnenez, associate attorney Josephine Foo, and district court judges serving as associate justice (by appointment) met on pending cases, pending motions, upcoming hearings, opinions, and writs filed with the Supreme Court.

From October to December 2013, Chief Justice Yazzie and Associate Justice Eleanor Shirley administered oaths of office to 16 new members of the Navajo Nation Bar Association; Kimberly Sam, member, Rough Rock Community School Board; and Vincent R. Vicenti, member, Lukachukai Community School Board.

8. Significant accomplishments by government relations officer Karen Francis
  - Organized contest on the re-design of the Judicial Branch's official seal. Collected designs, answered questions, and set up the presentation of submissions and voting during the Judicial Branch 2013 Conference. There were 24 entries submitted. Communicated and met with key staff and with the graphic designer on modifications that need to be made to the seal before it is presented to the public. Also, presented certificates of participation and Judicial Branch bags to all whom entered the contest.
  - Attended general sessions and breakout sessions at the Judicial Branch 2013 Conference on restorative justice, Diné fundamental law, sovereignty, and Title 7 revision update. Presented entries and voting results on seal contest. Took photos of the conference activities for inclusion in the Judicial Branch newsletter.

- Attended Employee Housing Committee meeting on November 12, 2013, and the Employee Housing Committee holiday luncheon on December 20, 2013.
- Along with the associate attorney, met with Navajo Technical University on the proposed memorandum of agreement to begin offering courses for transcribing in Navajo courts on December 4, 2013. The discussion led to several revisions of the MOA, which must now go through the SAS review process. The MOA is to be signed by NTU President Elmer Guy and Chief Justice Yazzie after it is finalized.
- Worked with Navajo Nation Records Management on obtaining Navajo Nation Council approved resolutions that created and amended Title 7 for the Title 7 review that is being conducted by the branch.
- Assisted associate attorney Josephine Foo with compiling information for the Navajo Reporter Volume 9.
- Attended the work session on the Judicial Branch Employee Policies and Procedures revision on October 17-18, 2013. Staff reviewed proposed changes and offered recommendations on the revisions.
- Attended meetings of the Law and Order Committee, Budget and Finance Committee, Nabikiyati Committee and Navajo Nation Council to keep updated on legislations and reports related to the branch. The Law and Order Committee approved the Office of Probation and Parole Services plan of operations and passed legislation to accept a U.S. DOJ grant for the Navajo Nation Integrated Justice Information Sharing Project. Also attended meetings of the Commission on Navajo Government on government reform efforts.
- Received public comments for the Supreme Court for the second comment period for the proposed Áłchíní Bi Beehaz'áannii Act (ABBA) rules of procedure.
- Released public service announcements on behalf of the branch, answered questions and fulfilled requests from the media, edited the Judicial Branch quarterly newsletter, and updated the [www.navajocourts.org](http://www.navajocourts.org) website when necessary.

9. Accomplishments by associate attorney Josephine Foo

- On October 18 and November 5, attended P.L. 93-638 contract appeal discussion meetings at Frye Law Office in Albuquerque with Supreme Court and contract counsel.
- On November 12, presented the draft of the ABBA rules to the Supreme Court containing final comments and noted further comments from the Court for discussion with the Attorney General and Legislative Counsel; drafted memo for the Court seeking input and noted the Court's issues on the online ABBA draft rules.
- On December 4, met with Dr. Elmer Guy, administrators, and faculty of Navajo Technical University in Crownpoint regarding the transcription courses to be funded through Judicial Branch; negotiated revisions to the MOA.
- On November 2, represented the Supreme Court in drafting and submitting an amicus brief in the Window Rock Unified School District et al v. Ann Reeves et al before the Ninth Circuit Court of Appeals concerning a challenge to the jurisdiction of the Navajo Nation over Arizona school district decisions arising on the reservation.
- On December 9, attended Peacemaking Program meeting in Shiprock at the home of Larry Emerson regarding curriculum development, sustainability, and rulemaking for a simplified petition process.
- On December 12, participated in conference call with Lexis regarding offer of permanent free menu for world indigenous journals.

- On December 17, participated in a conference call with NTU and Concordia University regarding curriculum of the transcription program.
- Worked with staff attorneys in Judicial Branch and NNDOJ to draft ABBA rules.
- Worked with Derrick Burbank in drafting simplified peacemaking petition and rules.
- Worked with Larry Emerson and Kandis Martine in drafting domestic violence protocols.
- Provided legal advice to Judicial Branch Human Resources on various matters.
- Worked on P.L. 93-638 appeal issues.
- Worked with the Chief Probation Officer in re-drafting PPS Plan of Operation.
- Drafted FY2013 one-time funding summary report on transcription program at request of funder.
- Assisted grants administrator on various grants-related matters.
- Worked with the government relations officer in completing content for Volume 9 of the Navajo Reporter and in drafting press releases.
- Issued legal memoranda and performed related functions in assisting the Office of the Chief Justice.
- Performed legal research and legal advisory duties generally.
- Maintained Judicial Branch website, including uploading draft and final rules and Supreme Court opinion summaries.

## **B. Tuba City Judicial District**

### 1. Accomplishments of objectives set the previous quarter

Criminal Justice Summit (CJS). On October 25, 2013, and December 13, 2013, the Tuba City Judicial District sponsored CJS meetings. In attendance were representatives from law enforcement, corrections, courts, probation/parole services, peacemaking, social services, local schools, and other service providers that have an interest in delivery of services by the justice system. With collaborative efforts, the group hopes to identify ways to share and streamline existing processes to better serve the community.

Pro Se Training. Staff attorney Tina Tsinigine provided six pro se training for the public this quarter. Training sessions are for individuals who wish to file pro se packets with the court. Topics included dissolution of marriage, paternity, child visitation, child custody, child support, correction of records, declaration of name, how to answer a petition, guardianship of a minor/adult, probate, quiet title, and name change. Individuals who want to represent themselves in court are first required to attend pro se training so he/she is aware of what the law requires and his/her duties and responsibilities in court.

Administrative Orders. Administrative orders were issued for assignments of judges to preside over cases that the local presiding judge disqualified himself. Assigned judges handle cases through final dispositions.

- On October 2, 2013, pursuant to Administrative Order 48-2013, Aneth Judge Irene S. Black presided over TC-FC-424-2013 (DV) (Teresa Goodman v. Johanna Sloan).
- On October 14, 2013, pursuant to Administrative Order 52-2013, Chinle Judge Rudy Bedonie presided over TC-FC-377-2013 (Brian L. Yazzie v. Gary L. Attakai), TC-FC-287-2013 (DV) (Roselyn Tsinnie v. Larry Hatathlie), and TC-FC-288-2013 (DV) (Rosita George v. Arlin Hatathlie).

- On October 23, 2013, pursuant to Administrative Order 56-2013, Window Rock Judge Geraldine Benally presided over TC-CR-269-2013 (Navajo Nation v. Minnie Goldtooth).
- On November 15, 2013, pursuant to Administrative Order 66-2013, Chinle Judge Rudy Bedonie presided over TC-CR-443/444-2013 (Navajo Nation v. Thompson Yazzie).
- On November 18, 2013, pursuant to Administrative Order 67-2013, Tuba City Judge Allen Sloan presided over KY-FC-638-2013 (DV) (Gaylen Tracey v. Olivia Holiday).
- On November 30, 2013, pursuant to Administrative Order 68-2013, Window Rock Judge Geraldine Benally presided over TC-FC-596-2013(DL) (Navajo Nation v. ITMO: C.E. and Concerning Lena Sloan)

2. Other significant accomplishments

New Court Building. On December 11, 2013, court administrator Alice Huskie, office technician Orlando Sam, and court bailiff Vinton Yazzie participated in the 11<sup>th</sup> month walk-through inspection with Nitish Suvarna from Dyron Murphy Architects, Al Francis from Arcadis, and Ken Crow from Okland/Arviso. The group reviewed the status of warranty service requests that were submitted, and it appeared that all had been addressed with the exception of warranty service requests for the doors and stucco walls. As required, a warranty service request must be submitted for things that need to be repaired.

Staff Development. On December 20, 2013, the Tuba City Court staff held its staff development day in Flagstaff, Arizona. Staff attorney Tina Tsingine sponsored team building activities, court bailiff Vinton Yazzie gave an update on the court security manual, and court administrator Alice Huskie covered the Navajo Nation Travel Policy. The staff shared ideas on positive reinforcements on maintaining working relationships.

Oaths of Office. Judge Allen Sloan administered oaths of office to:

- Esther Drake-Grass (Tuba City/Tonalea Chapter), board member, Greyhills Academy High School.
- Andrea Marie Goddard, DNA Legal Services.
- Tincer Nez, Sr. (Coalmine Chapter), Herman Tso (Lechee Chapter), and Kimberlee Williams (Kaibeto Chapter), board members, Tuba City Regional Health Care Corporation.

3. Objectives to be accomplished in the next quarter

To review court operations and processes to become more efficient and to eliminate duplications of court services.

To archive closed court cases.

To provide in-service training for the court staff.



## C. Kayenta Judicial District

### 1. Accomplishments of objectives set the previous quarter

The Kayenta Judicial District court clerks commenced its bi-annual clerk rotation on October 7, 2013. The rotation promotes cross-training in different aspects of district and family court proceedings and case management.

Court administrator Lavonne K. Yazzie participated in a Judicial Branch Employee Policies and Procedures work session on October 17-18, 2013, in Albuquerque, New Mexico. Participants successfully reviewed the semi-final draft revisions to the current personnel rules.

The Kayenta Judicial District staff participated in training at the 2013 Judicial Branch Conference in Isleta, New Mexico, on October 28-31, 2013. The staff garnered 20 hours of continual education and essential information to enhance and foster professional development within their respective job titles. One staff commented, *“The conference was very informative, I learned a lot and new ideas. I look forward to improve my work ethics and provide better customer service and work performance.”*

### 2. Other significant accomplishments

Pursuant to Administrative Order 42-2013, the Chief Justice of the Navajo Nation reassigned Judge Roy J. Tso, Jr., to the Kayenta Judicial District from the Dilkon Judicial District effective October 7, 2013. Unfortunately, Judge Tso was placed on administrative leave on October 9, 2013, and, on the same date, Judge Irene S. Black was temporarily assigned to Kayenta Judicial District. Judge Black is currently assigned to the Aneth Judicial District as well as the Kayenta Judicial District.

After 15 years of dedicated service, Judge Jennifer Benally retired from the Judicial Branch of the Navajo Nation on December 27, 2013. The Kayenta Judicial District staff sponsored a luncheon on October 11, 2013, to acknowledge her valuable service to our communities and the legal system. She will be deeply missed.

Court bailiff Kurt Claw participated in a Court Security task force meeting on November 26, 2013, in Window Rock, Arizona, to further develop and discuss the Judicial Branch Court Security Policies and Procedures.

Office technician Sheila Begishie obtained official updates in two probation and peacemaking services meetings this quarter.

### 3. Objectives to be accomplished in the next quarter

To complete remediation of the district court building for re-occupancy by staff and public access.

To complete the district and family court annual caseload accounting.

To conduct three in-service trainings for the district staff.

To actively participate in the Navajo Nation Integrated Justice Information Sharing

Project software updates and implement the Justware Document Automation (JDA) court forms.

## **D. Aneth Judicial District**

### **1. Accomplishments of objectives set the previous quarter**

The Aneth Judicial District is in the process of conducting employee evaluations for FY2014. The evaluation process should be completed within the next quarter.

Aneth Community Court resource officer Clorissa Thomas successfully completed her 90-day introductory period. Court administrator Susie Martin provided orientation on judicial policies and procedures, employment duties and responsibilities, and district and family case flow.

To provide an accurate accounting of all cases, the Aneth Judicial District is making preparations for its 2013 annual case load accounting by updating and closing cases.

In efforts to collaborate with resources, Aneth Community Court resource officer Clorissa Thomas attended two meetings related to community resourcing. The meetings were hosted by Utah Navajo Health System of Montezuma Creek, Utah.

### **2. Other significant accomplishments**

Staff attorney Glen Renner participated in ongoing discussions for the revision of the Navajo Nation Judicial Branch Employee Policies and Procedures. A two-day work session was held in Albuquerque, New Mexico, on October 17-18, 2013.

On October 23, 2013, Clorissa Thomas hosted her first Community Court steering committee meeting at the Aneth Chapter House. The meeting was attended by the Aneth chapter officials.

On October 15, 2013, bailiff Leon Jones completed six hours of security training provided by the Indian Health Services in Window Rock, Arizona.

From October 28 to October 31, 2013, the Aneth Judicial District staff participated in the Navajo Nation Judicial Branch 2013 Conference in Isleta, New Mexico. Each employee received more than 16 hours of job enhancement training related to his/her prospective employment, thus satisfying training requirements for FY2013.

On November 6, 2013, staff attorney Glen Renner participated in a one-hour webinar course on "Grant Funding to Appropriations."

On November 14, 2013, staff attorney Glen Renner, court administrator Susie Martin, resource coordinator Clorissa Thomas, and traditional specialist Stanley Nez participated via video conference in a grants status meeting held in Window Rock, Arizona.

Staff attorney Glen Renner facilitated one pro se workshop on November 22, 2013, for 10 participants. The workshop educated participants on the process and filing of pro se petitions as well as explain the various types of pro se petitions available through the Aneth Judicial District.

Staff attorney Glen Renner, resource coordinator Clorissa Thomas, and probation officer Bettina Norton participated in the webinar broadcast of “Criminal Justice Response to Drug-Involved Individuals” on December 9 and December 10, 2013.

Pursuant to Administrative Order 50-2013 issued by Chief Justice Herb Yazzie, Judge Irene S. Black was assigned to cover the Aneth and Kayenta Judicial Districts. The administrative order commenced on October 9, 2013, and will remain in effect until further notice. Until a change or new assignment is made, Judge Black will continue to maintain the court dockets at both judicial districts.

3. Objectives to be accomplished by Next Quarter

To begin planning for the Aneth Judicial District’s 2014 Justice Day.

To complete the FY2013 annual caseload accounting.

To complete one in-service training for the Aneth District staff.

To coordinate efforts with appropriate departments and resources to improve judicial services and promote positive working relationships within the community using the new community court project.

## **E. Chinle Judicial District**

1. Accomplishments of objectives set the previous quarter

Judicial Conference. The staff attended the Judicial Branch 2013 Conference in Isleta, New Mexico. The staff reported that they enjoyed the classes provided for them, and reacquainted themselves with other staff members from other districts.

Court Security. The bailiffs and court administrator attended court security meetings in Window Rock, Arizona. Discussions focused on a draft updated court security policy that would address today’s needs with court security, purchase of uniforms, and other local district concerns. The group also discussed the need to implement an interim court security policy pending the adoption of a permanent policy.

Administrative Order. Judge Cynthia Thompson traveled two days out of the week to Dilkon Judicial District. Her administrative assignment is taking a toll on her, more so the travelling. The administrative order is effective until the end of January 2014.

New Staff. The Chinle Judicial District hired two office technicians. The office technician assigned to PPS and peacemaking is Valerie Brown, formerly with the Navajo Nation Emergency Management Services. The other office technician assigned to the district is Bobby Talk, formerly with the Navajo Nation CHR Program.

Performance Evaluations. The court administrator completed staff performance evaluations and forwarded them to the Judicial Branch Office of Human Resources. The majority of the staff has improved with working with the Justware application. The assigned SMEs continued to provide support and guidance in using Justware.

2. Objectives to be accomplished in the next quarter

The Chinle Judicial District judges, staff attorney, and court administrator will participate in meetings with service providers and the Office of the Chief Justice.

The Chinle Judicial District will work towards meeting its performance criteria goals.

The court administrator will provide technical assistance to the court clerks, bailiffs, probation officers, office technicians, and custodian.

The Chinle Judicial District will support the goals and objectives of the Navajo Nation Probation and Parole Services.

The Chinle Judicial District will work with the goals and objectives of the Peacemaking Program.

## **F. Dzil Yijiin Judicial District**

1. Accomplishments of objectives set the previous quarter

Relocation of Judicial District. The Dzil Yijiin Judicial District court and offices were relocated from the Pinon Community School to the Pinon Chapter House. The staff worked out of the Pinon Chapter House for approximately one month before moving to the Whippoorwill Chapter House. The court/office is currently located at the Whippoorwill Administration Building, north of Whippoorwill Chapter House. The staff made adjustments but continue to be without internet and fax services.

Dzil Yijiin Judicial Task Force. The judge and acting court administrator attended task force meetings with law enforcement, prosecutors, corrections, and local resources. The group discussed criminal arraignments and transporting inmates to court for scheduled hearings. It appears that law enforcement and prosecutors have not made the necessary adjustments internally to get defendants to arraignments. Several options were discussed, but have not been effectuated. The judge continues to make efforts to get resources to work together.

Take Back Our Community Initiative. Judge Cynthia Thompson and the acting court administrator attended a Take Back Our Community meeting. Other members acknowledged Judge Thompson's participation and continued efforts to work with local communities. The group expressed the need to have the assigned judge participate in its scheduled meetings.

Judicial Branch 2013 Conference. The staff received valuable information and training during the Judicial Branch 2013 Conference in Isleta, New Mexico. They enjoyed their first conference.

Cedar Ceremony. The staff enjoyed renewed blessings and pushed to thrive forward with the operations of the Dzil Yijiin Judicial District during a cedar ceremony for their new location at Whippoorwill, Arizona. After the ceremony, the staff had a Christmas luncheon.

2. Objectives to be accomplished in the next quarter

The Dzil Yijiin Judicial District judge and assigned court administrator will participate in meetings with service providers and the Office of Chief Justice.

The Dzil Yijiin Judicial District will work towards meeting its performance criteria goals.

The court administrator will provide technical assistance to the court staff.

## **G. Dilkon Judicial District**

1. Accomplishments of objectives set the previous quarter

The district conducted two in-services for staff this quarter.

- On October 11, 2013, staff attorney Jordan Hale presented to the court clerks on procedures and processing requests or motions by defendants in jail and motions to set aside judgments. The session concluded with a question and answer period. The group discussions were very informative and beneficial to the court clerks.
- On December 19, 2013, peacemaker Sylvia Jackson presented on traditional teachings and winter stories. The staff enjoyed the stories, especially learning about the meaning of the different seasons.

The Dilkon Judicial District staff attended training and work sessions throughout the quarter on the new Justware data system with New Dawn Technologies, court administrators, and district SMEs. The group discussed, configured, and planned with other judicial districts regarding training, upgrades, and configuration of the Justware technology.

On October 7, 2013, Chinle Judge Cynthia Thompson was temporarily assigned to the Dilkon Judicial District until further notice. Judge Thompson has been very busy with the Dilkon and Chinle courts. The Dilkon Court staff appreciates her assisting the district pending the assignment/arrival of a permanent judge.

On October 29-31, 2013, the Dilkon court staff participated in the Navajo Nation Judicial Branch 2013 Conference at the Isleta Casino and Resort in Isleta, New Mexico. This year's theme was "Making the Journey Together for the Future."

2. Other significant accomplishments

Development of the new Justware data system is an ongoing project. Court administrator Darlene LaFrance and court clerk Tanya Chiquito are the district subject matter experts (SMEs). Office technician Patricia Peterson attended several meetings/trainings/work sessions to learn about the enhancements of the financial, accounting, and statistics configurations.

Staff attorney Jordan Hale and court administrator Darlene LaFrance participated in work sessions to revise Title 7, Judicial Branch Employee Policies and Procedures, and Judicial Branch Strategic Plan.

Court bailiff Alvin Bahe attended meetings with the Court Security task force assigned to develop and implement a new policy for the Judicial Branch.

3. Objectives to be accomplished in the next quarter

To facilitate the Dilkon Judicial District's resource meetings.

To network and collaborate with local resources to improve services to the public.

To conduct two in-service training for the district staff.

To work with the new Justware case management program with new goals and assignments.

To plan and make preparations for the newly assigned district court judge.

## H. Window Rock Judicial District

1. Accomplishments of objective set the previous quarter

In October 2013, the Navajo Nation Facilities Maintenance and Division of Public Safety Facilities Maintenance worked together in creating forms for concrete sidewalks around the DPS/Judicial building. The Window Rock Judicial District paid for the cement provided by the Gallup Cement Company.

Judge Carol Perry, staff attorney Robyn Neswood, and court administrator Barbara Willeto participated in discussions on revisions of the Judicial Branch Employee Policies and Procedures in Albuquerque, New Mexico.

On October 25, 2013, and November 26, 2013, Judge Geraldine Benally facilitated court security meetings at the court. Judge Benally collaborated with the newly formed task force consisting of district bailiffs, Judicial Branch Office of Human Resources, and Division of Public Safety. The task force was assigned to draft a court security policy for use by the districts.

The court administrator attended a Motor Vehicle Review Board meeting on December 11, 2013, to request take home privileges for the assigned Window Rock tribal vehicle. The request was deferred to a meeting scheduled for January 8, 2014.

On December 13, 2013, staff attorney Robyn Neswood completed her 90 day introductory period and began employment as a permanent employee of the Window Rock Judicial District.

2. Other significant accomplishments

The staff attorney reviewed cases pending in the Window Rock Judicial District and provided recommendations and drafted proposed orders for Judge Carol Perry and Judge Geraldine Benally. She provided legal feedback to Judge Perry on the progress of arraignments in the Window Rock Court, consulted with court clerks regarding current legal issues, and researched assigned legal issues. She worked closely with the court administrator to identify solutions to operate more effectively.

The staff attorney provided assistance to the public via telephone or in person. At times it is difficult because she cannot provide direct or personal legal assistance, but still

ensure that the public's needs are met. There are instances when the public is dissatisfied and they voice their concerns to the Chief Justice. During these situations, the staff attorney drafted correspondence for the Chief Justice to address each concern by researching the issues. Generally, the complainant is provided an explanation that his/her concerns are being researched and a report will be provided. These situations help pinpoint problems and create solutions for a better future.

The staff attorney has been appointed to represent the Judicial Branch in a human relations matter before the Office of Navajo Labor Relations. As a result, she researched complex civil litigations and learned how to apply the tribal codes to most issues. With the help of Versus Law and Key to Case Law, she is able to assist the judges with cases that come before the Window Rock District Court.

3. Objectives to be accomplished in the next quarter

The Window Rock Judicial District judges will conduct presentations as requested by other tribal departments and entities.

The Window Rock Judicial District will work on meeting its performance criteria goals.

The probation officers will work with the court in conducting field visits as ordered by the judges and complete field studies and reports on behalf of clients.

The probation officers will work with the court in seeking services from other service providers within the Fort Defiance Agency for their clients.

The traditional program specialist will work with the court in conducting presentations.

## **I. Shiprock Judicial District**

1. Accomplishments of objectives set the previous quarter

The Judicial Branch 2013 Conference was a success. The two and one-half day conference was held October 29–31, 2013, at the Isleta Casino & Resort in Albuquerque, New Mexico. The Shiprock Judicial District personnel received training in areas of their respective duties and obtained valuable information on worker's compensation, retirement, safety and loss control, employee benefits, etc. They heard keynote speakers Honorable Arthur Allison, Secretary of Indian Affairs, and New Mexico Governor Susana Martinez. The Navajo Nation Judicial Branch was very fortunate to have received this grant to accomplish its goal to hold this gathering for its staff.

The Shiprock court bailiffs participated in Judicial Branch court security task force meetings on November 26, 2013, and December 8, 2013. Discussions were on developing a Standard Operating Procedures Manual, transport/status of inmates, Justware for court bailiffs, and certification of bailiffs in firearms use.

District staff attorney Derrick Burbank has been concentrating on development of pro-se clinics. The projected implementation is scheduled for the second quarter of FY2014.

On December 16, 2013, staff of the Administrative Office of the Courts and court administrators from Crownpoint and Shiprock met with Walden Structures, Big-D

Construction, Bighorse Engineers, Navajo Nation Design and Engineering Services, and Navajo Tribal Utility Authority regarding the modular building projects at Window Rock, Shiprock, and Pueblo Pintado. The group reviewed and confirmed proposed utility infrastructure design plans, buildings ready for delivery, and scheduled an on-site visit in the afternoon by Mr. Charles Walden to assess the sites for delivery and set up. Mr. Walden's projection of delivery date for the Shiprock modular building is January 2014 but is contingent on the weather.

The total number of clients registered on the Shiprock Daily Visitors Register was 4,098. The purpose of visits to the district courts is summarized below.

FY2014 First Quarter Report (October 1, 2013 - December 31, 2013)																	
<i>MONTH</i>	District Court Hearing	Questions	Pay Fine	Paperwork	Family Court Hearing	Questions	Pay Fine	Paperwork	Peacemaking Session	Questions	Pay Stipend Fee	Paperwork	Probation Services	Questions	Others	<i>TOTAL CLIENTS</i>	<i>METAL DETECTOR READINGS</i>
Oct 2013	165	148	92	54	142	51	8	173	22	3	0	4	199	3	285	<b>1,349</b>	<b>3,355</b>
Nov 2013	142	134	78	40	204	57	11	195	41	4	0	1	178	7	292	<b>1,384</b>	<b>3,273</b>
Dec 2013	102	161	85	56	172	53	10	213	31	3	0	4	179	4	292	<b>1,365</b>	<b>2,820</b>
<b>TOTAL:</b>	<b>409</b>	<b>443</b>	<b>255</b>	<b>150</b>	<b>518</b>	<b>161</b>	<b>29</b>	<b>581</b>	<b>94</b>	<b>10</b>	<b>0</b>	<b>9</b>	<b>556</b>	<b>14</b>	<b>869</b>	<b>4,098</b>	<b>9,448</b>

2. Other significant accomplishments

During this quarter, the district operated with one trial judge overseeing the docket. In addition, the district had to make adjustments due to one district court clerk being laid off at the end of FY2013.

The Shiprock Judicial District has been steady with filings of new complaints. The quarter's caseload was 4,570. 3,446 cases (including bench warrants) were carried over from FY2013. 1,124 new cases were filed. 1,336 cases were closed. 3,234 cases (including bench warrants) are pending and will be carried over into the FY2014 second quarter. The depository account (fees, fines, forfeitures) year-to-date is \$33,657.70; trust account (cashbond) year-to-date is \$4,187.65; and credit voucher (community service work, nalyeeh, restitution, etc.) year-to-date is \$19,850.40.

3. Objectives to be accomplished in the next quarter

To plan and sponsor the 2014 Justice Day.

To provide training on Justware financial and desk audit model to other judicial districts.

To plan and coordinate in-service training for court personnel.

To complete the annual case inventory.

To participate in planning of the new criminal justice complex building.

To assess and address district court security issues.

To set up the new probation modular building.



To participate in strategic planning work sessions.

To participate in the review/revision of Title 7.

To implement pro-se clinics.

To participate in the review/revision of the Judicial Branch Employee Policies and Procedures.

## **J. Crownpoint Judicial District**

### **1. Accomplishment of objectives set the previous quarter**

A total of 1,915 closed traffic cases for year 2008 were digitally scanned for archiving.

Cases were separated between Crownpoint and Pueblo Pintado Courts. This resulted in a caseload of 143 cases for Pueblo Pintado in this quarter.

Two in-service trainings were held for the staff.

### **2. Other significant accomplishments**

The court staff continued to use the new Justware case management program. It has been one year since the district went live with Justware in November 2012. Although there are still some concerns with statistical and financial reporting, staff are becoming familiar and comfortable with using this new case management program.

The Crownpoint court staff attended the Judicial Branch 2013 Conference at the Isleta Resort and Casino in Albuquerque, NM. They attended sessions in case management, procedures for courts and timelines, life value engagement, conducting jury trials, customer service, etc. These topics were eye openers as to how to treat customers who come to the court for business as well as performing daily duties as staff.

Judge Irene Toledo continued to solely preside over schedule cases in the Crownpoint Judicial District. She attended a New Mexico Tribal/State Consortium meeting in Crownpoint, New Mexico, on October 4, 2013.

Sharon Noel began her employment as the new staff attorney for Crownpoint on December 9, 2013. The Crownpoint court staff is appreciative of staff attorney Dan Moquin for assisting the Crownpoint Judicial District pending the hiring of a permanent staff attorney.

The Crownpoint Judicial District is also appreciative of having AOC information data technician Teresa Chee print copies of archived cases. This new process has made it easier for court staff and the public.

Court administrator Rena Thompson participated in court administrator meetings as well as other administrative meetings concerning the new justice building and court operations at the Crownpoint and Pueblo Pintado Courts.

3. Objectives to be accomplished in the next quarter

To scan closed court cases for archiving.

To work on the new Pueblo Pintado Court's implementation as well as other administrative matters.

To schedule in-service training for court staff using the staff attorney as the presenter.

## K. Ramah Judicial District

1. Accomplishments of objectives set the previous quarter

The Ramah District Court provided quality services to the public during this quarter. The public/community has been coming in for court services, i.e., filing documents, court hearings, etc.

2. Other significant accomplishments

Staff Activities. During daily court operations, staff:

- Maintained contact with the service population, i.e., service providers, prosecutors, attorneys, legal services, social services, police officers, and community members.
- Maintained a daily checkout log for police officers, social services, and prosecutors for incoming/outgoing mail.
- Maintained a mail log for incoming/outgoing mail.
- Maintained a sign-in sheet for parties coming before the court.
- Maintained daily phone and fax logs.
- Provided a trailing docket to court staff three months in advance of scheduled hearings.
- Updated the daily schedule for the judge.
- Provided court schedules to other departments for information purposes.
- Maintained an updated bench warrant list with assistance from Ramah Navajo Department of Law Enforcement.
- Made weekly bank deposits (fines, fees, and cash bond) in Gallup, New Mexico.

During this quarter, 364 people signed in for court services, and there were 794 incoming/outgoing telephone calls and 619 incoming/outgoing faxes.

On November 4, 2013, Judge Wilson Yellowhair was reassigned to the Crownpoint Judicial District. His last day with the judicial district was November 1, 2013. On the same date, by administrative order, Judge Leonard Livingston was officially assigned as the new presiding judge of the Ramah Judicial District.

On November 26, 2013, bailiff Roy Henry successfully completed safety/security training in Window Rock, Arizona. Following the training, he conducted an assessment of the court building to ensure that adequate and efficient precautions are met, especially during court hearings, etc.

On December 17, 2013, the Ramah Navajo Chapter passed a supporting resolution by Ramah Judicial District to request for capital outlay funding during the New Mexico

2014 State Legislative Session in the amount of \$500,000 to plan and design a new court facility in the Ramah Navajo community.

3. Objectives to be accomplished in the next quarter

To scan closed court case files.

To host a community resource meeting with local service providers, judge, staff attorney, and court administrator.

To complete in house training and orientation for the newly hired court clerk and to evaluate for permanent status consideration.

To schedule in-house training for staff.

## **L. Alamo/To'hajiilee Judicial District**

1. Accomplishments of objectives set the previous quarter

On November 20, 2013, court administrators from all districts were updated on the 2013 Coordinated Tribal Assistance Solicitation (CTAS) awarded to the Judicial Branch for a Criminal Justice Summit and the Veterans Outreach Project of the Healing to Wellness Courts of Alamo/To'hajiilee Judicial District.

A new brochure was developed for the Healing to Wellness Court Projects in Alamo and To'hajiilee. The brochures were disseminated to behavioral health providers at the New Mexico Native American Behavioral Health Summit on October 11, 2013, to over 100 participants from several tribes, state agencies, and behavioral health providers who serve Native Americans.

2. Other significant accomplishments

Judicial Branch 2013 Conference (October 28–31, 2013). All staff from the district attended the 2013 Conference at the Pueblo of Isleta Resort and Conference Center. Overall, the staff complimented the conference as being very beneficial to their positions at the district. The Alamo/To'hajiilee bailiff and court administrator worked with Fox Valley on the security track for the conference and made good connections with their training organization for the future.

Domestic Violence Training. In conjunction with Domestic Violence Awareness Month, on October 28, 2013, staff attorney Alisha Thompson presented on domestic violence laws within the Navajo Nation to the To'hajiilee community. The presentation included a discussion on the Violence Against Families Act and Elder Abuse Protection Act.

Guardianship and Adoption Process Training. On November 14, 2013, staff attorney Alisha Thompson presented "Attaining Guardianship & the Adoption Process" as a breakout session during the Navajo Nation Program for Self Reliance 2014 first quarter staff meeting in Isleta, New Mexico.

Security Policy Meetings. The Alamo/To'hajiilee court administrator wrote a report as a result of the bailiff roundtable on October 29, 2013, during the 2013 Conference. The

qualitative data and recommendations from the bailiffs from all districts were provided to the security policy committee on November 26, 2013. The committee established through the Administrative Office of the Courts met on October 25, 2013, to outline and review current policies. The bailiff roundtable was part of collecting recommendations for future work on the Judicial Branch security policy. On November 26, 2013, the security policy committee reconvened with several bailiffs in attendance. The meeting was facilitated by Judge Geraldine Benally. The staff attorney assigned to the committee also engaged court administrators at their meeting on December 17, 2013. The security policy has not been finalized. Future meetings will be scheduled in 2014.

MOU with Alamo Navajo School Board. The Alamo/To'hajiilee Judicial District's Memorandum of Understanding for the Healing to Wellness Court (HTWC) project with the Alamo Navajo School Board's 638 Behavioral Health Program was presented to the school board for final approval in November 2013. Care coordinator David Singer gave a presentation about HTWC on October 4, 2013, to the Alamo Navajo Chapter Planning Committee, and then again on October 17, 2013, before the full chapter.

There were changes made to the language of the MOU, and it was approved on December 20, 2013. The Alamo Navajo School Board oversees the local tribal behavioral health programs including working directly with the Albuquerque Indian Health Services Area Office. Unlike the larger Navajo Nation, Alamo health services are funded through Albuquerque Area and not through Navajo Area Indian Health Services. Therefore, the local school board oversees the 638 contract dollars for the alcohol and substance abuse intervention and prevention programs. The Alamo program already has several programs in place for coordination of co-occurring disorders. They are also already working with the Socorro County drug court program. Therefore, the MOU that was presented was based on services that Alamo Behavioral Health is already providing for Alamo community members. The MOU is provided to the USDOJ as part of the FY2011 CTAS grant reporting requirements.

MOU with Canoncito Band of Navajo Health Board Inc. The district worked with the local 638 To'hajiilee behavioral health program to develop an MOU similar to the Alamo MOU. However, due to the differences in programming in the community, a meeting was held on October 8 and 9, 2013, to define the parameters of services provided. The MOU has to be reviewed by the administrator for the health board before being approved by the board itself. Staff attorney Alisha Thompson worked on revisions to the MOU based on recommendations from the administrator for the health board during the month of December 2013. Unlike the larger Navajo Nation, the To'hajiilee community receives behavioral health services through contracts with the Albuquerque Area Indian Health Services and not through the Navajo Area Indian Health Services. Future meetings were scheduled in January 2014 to finalize the MOU for the To'hajiilee community.

USDOJ FY2013 CTAS Grant Award. On September 24, 2013, the Navajo Nation Judicial Branch was awarded \$673,348 through the U.S. Department of Justice Coordinated Tribal Assistance Solicitation (CTAS). The Administrative Office of the Courts is assisting with the accepting of the award through the internal legislative process. Chief Justice Herb Yazzie met with AOC staff and the court administrator on December 5, 2013, to discuss the grant in detail and to define steps for implementation, including the planning of a Criminal Justice Summit in 2014.

The grant is for development of the Alamo and To'hajiilee Healing to Wellness Courts to increase access to behavioral health services and improve justice systems. The grant will be used to enhance the existing Healing to Wellness Courts in the Alamo and

To'hajiilee communities through outreach activities to Navajo veterans and their families by collaborating with the Department of Veterans Affairs Veterans Outreach Program, the New Mexico Veterans Services, New Mexico Human Services Department's Veterans First Project, and other veteran organizations on the Navajo Nation. The Department of Veterans Affairs already has a program that outreaches to veterans in state jurisdictions or courts, and tries to help them with services, so plans are to partner with that agency to improve services specifically for Native American veterans by linking them with culturally appropriate behavioral health services that may not exist in the state jurisdictions. It also provides funds for drug test kits for all districts as well as funding a criminal justice summit in order to develop a Tribal Action Plan.

Tribal Oriented Policing Training. Healing-to-Wellness-Court (HTWC) care coordinator David Singer and court administrator Regina Roanhorse completed a two-day training specifically designed by Native American community policing practitioners through the National Criminal Justice Training Center and Fox Valley Technical Center called the "Tribal Oriented Policing Strategies (TOPS) Training" from November 12–13, 2013, in Albuquerque, New Mexico. They participated in a process that defined proven problem-solving techniques to reduce crime in the Alamo and To'hajiilee communities. It was a highly interactive training that required key stakeholders from the community to develop action plans and solutions to enhance public safety for their specific community. The care coordinator and court administrator obtained information about how to strengthen relationships between law enforcement, tribal agencies, and the community to enhance community safety including:

- Elements of change
- Building partnerships
- Identifying resources
- Community policing
- Dynamics of group development
- Creative solutions to problems
- Overcoming barriers to working together

The participant guide was very useful and informative. It outlined strategies in Indian Country that communities can implement including safety plans for youth and victims of domestic violence. This training was very useful for the development of the HTWC project because the steps and information that was developed by these training organizations can be utilized at the local level through the local community steering committee.

Law Enforcement and Prosecutor Coordination. As a result of the tribal oriented policing training, the district invited local Navajo tribal prosecutor Cathy Begay, Judge William Platero, care coordinator David Singer, probation officer Lauren Billy, traditional program specialist Albert Begaye, and court administrator Regina Roanhorse to meet with Crownpoint law enforcement officers on December 9, 2013. The district's Healing to Wellness Court (HTWC) project was funded through a 2011 CTAS grant and one of the grant deliverables was the development of interagency coordination and planning with local law enforcement. A general orientation of the HTWC project was provided to four patrol officers, one lieutenant, and one criminal investigator. The district also presented the TOPS training initiative and discussed an MOU for the referral process for participants through prosecutor's office. The matter involves the number of criminal cases that is actually coming through the courts for referral into the project. Presently the number of criminal cases has dropped drastically over the past year. As a result, the

referrals into the HTWC are very limited. The law enforcement officers and prosecutor asked for internet through the NNIJISP project for Justware and to do reports. The 10 key components of a tribal healing to wellness court were provided to the officers and an invitation for one law enforcement officer to participate in the project. Also discussed was building a temporary detention facility in Alamo to aid in the overall community policing services and reduce the transporting of offenders to Crownpoint from Alamo or To'hajiilee. A follow up meeting is planned in the next quarter.

USDOJ Grant Coordination. The Alamo/To'hajiilee Judicial District participated in grant coordination meetings with the Administrative Office of the Courts on November 14, 2013 and December 5, 2013. To implement two USDOJ grants and other district projects, the court partners with and holds inter-disciplinary team meetings called "P5" with Alamo Probation Services, To'hajiilee Probation Services, Office of the Prosecutor, and Peacemaking Program. In order to understand and appreciate the depth and breadth of the work in implementing an intervention program of this nature, all parties worked hard to meet and develop action plans and attend local meetings, trainings, and other events. The district also assisted the Peacemaking Apprenticeship Program. During this quarter, the district worked with the prosecutor and law enforcement to develop a stronger referral process. The district judge met with the program manager and staff attorney to refine the manuals. In December 2013, the group met to refine the policies and procedures of the Healing to Wellness Court Project. There are final drafts of a participant handbook and the internal policies and procedures for the coordinator and treatment team.

Healing to Wellness Court Projects in the Alamo and To'hajiilee Courts and Increasing Behavioral Health Services for the District. The new care coordinator, David Singer, was hired in June 2013 under the USDOJ BJA Indian Alcohol and Substance Abuse grant. Following is a list of his activities:

- Participated in the strategic planning for the New Mexico Tribal State Judicial Consortium on November 8, 2013, to become familiar with the consortium and for possible future tribal/state collaborations on drug courts.
- Attended the School Health Advisory Council to recruit steering committee members and to present on projects of the Judicial Branch.
- The care coordinator and traditional program specialist had a booth and gave out brochures on the HTWC Project and Peacemaking Program during the New Mexico Native American Behavioral Health Summit on October 11, 2013. New information was received on New Mexico behavioral health projects, and future partnerships were developed with the managed care organizations in attendance. The HTWC Project was promoted with other New Mexico state agencies and providers.
- The care coordinator, probation officer, and traditional program specialist attended Socorro County drug court meetings. Due to attendance at these sessions, the Socorro County court referred one case to the HTWC project through probation services. However, the case involved a serious offense and was not accepted into the program.
- Attended Socorro County DWI Council meetings to develop new partnerships with prevention activities in the county. Alamo Navajo is in Socorro County, and any partnerships for preventing DWI are an important activity under the grant.
- The care coordinator and court administrator attended a mock drug court orientation on December 3, 2013, hosted by the Alamo Navajo behavioral health drug counselor

who works with the Socorro County drug court. The care coordinator gained beneficial information on how to conduct an orientation for participants.

Resource Meetings – Interagency Planning and Coordination. The district hosted resource meetings in Alamo and To'hajiilee. These community meetings have been important in developing collaborative relationships with the unique systems in both communities, including health, education, chapter, and justice systems so that local issues can be addressed and to focus on most pressing and documented gaps in the local continuum of care for truancy, substance abuse, and mental health programs for families and youth. The goal is to provide a forum or opportunity for local services and health providers to plan and coordinate services more effectively despite the lack of resources.

Resource meetings provided an important mechanism for interagency planning and coordination at the service delivery level, as well as helped to define a clear vision for strategies to coordinate future services, programs, and other initiatives particularly for youth. The district worked on scanning all key programs in the area to develop initiatives. Key stakeholders representing all cultural community and provider groups, educational institutions, and youth were given the opportunity to participate in the resource meetings held at the respective court. The following resource meetings are related to the MCA Project:

- Alamo resource meeting on October 24, 2013: Overall information was provided about the Healing to Wellness Court Project and peacemaking projects. Other attendees gave updates on needs and programs.
- To'hajiilee resource meetings on October 25, 2013 and November 18, 2013: Service providers and resources provided updates on their projects. There were discussions on lack of law enforcement reporting and referrals to the courts.

Tribal Court Management Training. On December 11-13, 2013, the To'hajiilee court administrator, court clerk, and office technician attended the tribal court management training. Participants reviewed applicable ethical and judicial authorities granted to court administrators to manage the financial and judicial administrative functions of the court. Some of the responsibilities are shared with the judges, but overall, the detailed and multi-tasking of duties involves data collection, management of court fines, and the coordination of human resources.

Teen Court Coordinator Interviews. The Alamo/To'hajiilee Judicial District completed teen court coordinator interviews in December 2013. The position is funded through a USDOJ Office of Juvenile Justice Delinquency Prevention grant, Tribal Youth Program. This grant awarded in 2011 includes the NNIJISP project and coordination of services with existing peacemaking tribal youth program grants.

3. Objectives to be accomplished in the next quarter

To conduct a peacemaker-judge quarterly meeting.

To finalize an MOA with To'hajiilee Behavioral Health Services and To'hajiilee Health Boards.

To plan a TOPS training for the Alamo/To'hajiilee Judicial District.

## VIII. Judicial Branch Statistical Caseload Reports

### SUPREME COURT OF THE NAVAJO NATION

Case Type	Brought Forward	Filed	Reconsiderations	Caseload	Closed Cases	Pending
Civil	38	16	3	57	18	39
Criminal	0	0	0	0	0	0
NNBA	0	16	0	16	16	0
Special Proceedings	0	1	0	1	0	1
<b>Quarter Caseload</b>	<b>38</b>	<b>33</b>	<b>3</b>	<b>74</b>	<b>34</b>	<b>40</b>

### TUBA CITY JUDICIAL DISTRICT

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	48	32	80	43	37
Criminal	200	521	721	236	485
Civil Traffic	220	368	588	306	282
Criminal Traffic	55	159	214	22	192
<b>District Total</b>	<b>523</b>	<b>1,080</b>	<b>1,603</b>	<b>607</b>	<b>996</b>
Family Civil	89	45	134	21	113
Domestic Violence	47	95	142	108	34
Dependency	16	9	25	1	24
Delinquency	6	15	21	7	14
CHINS	1	2	3	1	2
<b>Family Total</b>	<b>159</b>	<b>166</b>	<b>325</b>	<b>138</b>	<b>187</b>
<b>Quarter Caseload</b>	<b>682</b>	<b>1,246</b>	<b>1,928</b>	<b>745</b>	<b>1,183</b>

### KAYENTA JUDICIAL DISTRICT

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	33	38	71	30	41
Criminal	254	176	430	33	397
Civil Traffic	57	188	245	69	176
Criminal Traffic	36	14	50	7	43
<b>District Total</b>	<b>380</b>	<b>416</b>	<b>796</b>	<b>139</b>	<b>657</b>
Family Civil	60	28	88	25	63
Domestic Violence	120	107	227	106	121
Dependency	17	2	19	0	19
Delinquency	5	2	7	1	6
CHINS	2	0	2	1	1
<b>Family Total</b>	<b>204</b>	<b>139</b>	<b>343</b>	<b>133</b>	<b>210</b>
<b>Quarter Caseload</b>	<b>584</b>	<b>555</b>	<b>1,139</b>	<b>272</b>	<b>867</b>



**ANETH JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	42	13	55	27	28
Criminal	74	9	83	22	61
Civil Traffic	32	97	129	90	39
Criminal Traffic	31	2	33	1	32
<b>District Total</b>	<b>179</b>	<b>121</b>	<b>300</b>	<b>140</b>	<b>160</b>
Family Civil	71	36	107	49	58
Domestic Violence	29	29	58	51	7
Dependency	4	0	4	2	2
Delinquency	0	0	0	0	0
CHINS	0	0	0	0	0
<b>Family Total</b>	<b>104</b>	<b>65</b>	<b>169</b>	<b>102</b>	<b>67</b>
<b>Quarter Caseload</b>	<b>283</b>	<b>186</b>	<b>469</b>	<b>242</b>	<b>227</b>

**CHINLE JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	134	45	179	51	128
Criminal	453	130	583	117	466
Civil Traffic	363	669	1,032	410	622
Criminal Traffic	76	25	101	20	81
<b>District Total</b>	<b>1,026</b>	<b>869</b>	<b>1,895</b>	<b>598</b>	<b>1,297</b>
Family Civil	125	68	193	90	103
Domestic Violence	106	106	212	130	82
Dependency	13	4	17	5	12
Delinquency	5	0	5	1	4
CHINS	0	1	1	0	1
<b>Family Total</b>	<b>249</b>	<b>179</b>	<b>428</b>	<b>226</b>	<b>202</b>
<b>Quarter Caseload</b>	<b>1,275</b>	<b>1,048</b>	<b>2,323</b>	<b>824</b>	<b>1,499</b>

**DZIL YUJIN JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	23	14	37	12	25
Criminal	191	14	205	31	174
Civil Traffic	96	70	166	29	137
Criminal Traffic	26	2	28	2	26
<b>District Total</b>	<b>336</b>	<b>100</b>	<b>436</b>	<b>74</b>	<b>362</b>
Family Civil	33	29	62	9	53
Domestic Violence	77	26	103	25	78
Dependency	4	0	4	0	4
Delinquency	0	0	0	0	0
CHINS	0	0	0	0	0
<b>Family Total</b>	<b>114</b>	<b>55</b>	<b>169</b>	<b>34</b>	<b>135</b>
<b>Quarter Caseload</b>	<b>450</b>	<b>155</b>	<b>605</b>	<b>108</b>	<b>497</b>

**DILKON JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	54	3	57	9	48
Criminal	548	120	668	95	573
Civil Traffic	103	112	215	97	118
Criminal Traffic	68	15	83	9	74
<b>District Total</b>	<b>773</b>	<b>250</b>	<b>1,023</b>	<b>210</b>	<b>813</b>
Family Civil	67	42	109	20	89
Domestic Violence	22	31	53	33	20
Dependency	17	4	21	0	21
Delinquency	18	3	21	0	21
CHINS	0	0	0	0	0
<b>Family Total</b>	<b>124</b>	<b>80</b>	<b>204</b>	<b>53</b>	<b>151</b>
<b>Quarter Caseload</b>	<b>897</b>	<b>330</b>	<b>1,227</b>	<b>263</b>	<b>964</b>

**WINDOW ROCK JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	775	59	834	22	812
Criminal	1,170	240	1,410	130	1,280
Civil Traffic	863	172	1,035	163	872
Criminal Traffic	309	52	361	40	321
<b>District Total</b>	<b>3,117</b>	<b>523</b>	<b>3,640</b>	<b>355</b>	<b>3,285</b>
Family Civil	737	111	848	40	808
Domestic Violence	326	102	428	142	286
Dependency	117	6	123	9	114
Delinquency	64	11	75	13	62
CHINS	16	2	18	4	14
<b>Family Total</b>	<b>1,260</b>	<b>232</b>	<b>1,492</b>	<b>208</b>	<b>1,284</b>
<b>Quarter Caseload</b>	<b>4,377</b>	<b>755</b>	<b>5,132</b>	<b>563</b>	<b>4,569</b>

**SHIPROCK JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	208	59	267	94	173
Criminal	1,046	83	1,129	162	967
Civil Traffic	998	690	1,688	619	1,069
Criminal Traffic	837	72	909	162	747
<b>District Total</b>	<b>3,089</b>	<b>904</b>	<b>3,993</b>	<b>1,037</b>	<b>2,956</b>
Family Civil	188	58	246	85	161
Domestic Violence	76	153	229	192	37
Dependency	34	2	36	4	32
Delinquency	26	4	30	1	29
CHINS	33	3	36	17	19
<b>Family Total</b>	<b>357</b>	<b>220</b>	<b>577</b>	<b>299</b>	<b>278</b>
<b>Quarter Caseload</b>	<b>3,446</b>	<b>1,124</b>	<b>4,570</b>	<b>1,336</b>	<b>3,234</b>

**CROWNPOINT JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	68	120	188	44	144
Criminal	489	236	725	136	589
Civil Traffic	91	251	342	153	189
Criminal Traffic	146	102	248	44	204
<b>District Total</b>	<b>794</b>	<b>709</b>	<b>1,503</b>	<b>377</b>	<b>1,126</b>
Family Civil	127	95	222	62	160
Domestic Violence	143	81	224	129	95
Dependency	47	18	65	11	54
Delinquency	8	4	12	0	12
CHINS	28	4	32	4	28
<b>Family Total</b>	<b>353</b>	<b>202</b>	<b>555</b>	<b>206</b>	<b>349</b>
<b>Quarter Caseload</b>	<b>1,147</b>	<b>911</b>	<b>2,058</b>	<b>583</b>	<b>1,475</b>

**RAMAH JUDICIAL DISTRICT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	38	11	49	4	45
Criminal	438	123	561	91	470
Civil Traffic	1,242	68	1,310	58	1,252
Criminal Traffic	63	28	91	8	83
<b>District Total</b>	<b>1,781</b>	<b>230</b>	<b>2,011</b>	<b>161</b>	<b>1,850</b>
Family Civil	43	9	52	6	46
Domestic Violence	47	12	59	11	48
Dependency	10	1	11	1	10
Delinquency	8	8	16	2	14
CHINS	0	6	6	1	5
<b>Family Total</b>	<b>108</b>	<b>36</b>	<b>144</b>	<b>21</b>	<b>123</b>
<b>Quarter Caseload</b>	<b>1,889</b>	<b>266</b>	<b>2,155</b>	<b>182</b>	<b>1,973</b>

**ALAMO COURT**

Case Type	Brought Forward	Filed	Caseload	Closed Cases	Pending
Civil	21	11	32	10	22
Criminal	32	6	38	4	34
Civil Traffic	37	10	47	5	42
Criminal Traffic	10	0	10	0	10
<b>District Total</b>	<b>100</b>	<b>27</b>	<b>127</b>	<b>19</b>	<b>108</b>
Family Civil	10	9	19	8	11
Domestic Violence	10	7	17	8	9
Dependency	2	1	3	2	1
Delinquency	2	3	5	1	4
CHINS	18	3	21	5	16
<b>Family Total</b>	<b>42</b>	<b>23</b>	<b>65</b>	<b>24</b>	<b>41</b>
<b>Quarter Caseload</b>	<b>142</b>	<b>50</b>	<b>192</b>	<b>43</b>	<b>149</b>

**TO'HAIJILEE COURT**

<b>Case Type</b>	<b>Brought Forward</b>	<b>Filed</b>	<b>Caseload</b>	<b>Closed Cases</b>	<b>Pending</b>
Civil	1	0	1	1	0
Criminal	236	27	263	46	217
Civil Traffic	50	49	99	22	77
Criminal Traffic	27	2	29	0	29
<b>District Total</b>	<b>314</b>	<b>78</b>	<b>392</b>	<b>69</b>	<b>323</b>
Family Civil	29	7	36	7	29
Domestic Violence	1	16	17	11	6
Dependency	3	0	3	1	2
Delinquency	5	8	13	3	10
CHINS	2	2	4	1	3
<b>Family Total</b>	<b>40</b>	<b>33</b>	<b>73</b>	<b>23</b>	<b>50</b>
<b>Quarter Caseload</b>	<b>354</b>	<b>111</b>	<b>465</b>	<b>92</b>	<b>373</b>

**PROBATION SERVICES**

<b>Case Type</b>	<b>Brought Forward</b>	<b>Filed</b>	<b>Caseload</b>	<b>Closed Cases</b>	<b>Pending</b>
Adult Probation	821	237	1,058	265	793
Adult Parole	12	7	19	14	5
Adult Short-Term Probation	1,652	1,521	3,173	1,464	1,709
<b>Adult Probation Total</b>	<b>2,485</b>	<b>1,765</b>	<b>4,250</b>	<b>1,743</b>	<b>2,507</b>
Juvenile Probation	21	28	49	13	36
Juvenile Short-Term Probation	128	60	188	39	149
<b>Juvenile Probation Total</b>	<b>149</b>	<b>88</b>	<b>237</b>	<b>52</b>	<b>185</b>
<b>Quarter Caseload</b>	<b>2,634</b>	<b>1,853</b>	<b>4,487</b>	<b>1,795</b>	<b>2,692</b>

**PEACEMAKING PROGRAM**

<b>District</b>	<b>Brought Forward</b>	<b>Filed</b>	<b>Caseload</b>	<b>Closed Cases</b>	<b>Pending</b>
Alamo	0	2	2	1	1
Aneth	39	25	64	25	39
Chinle	34	14	48	10	38
Crownpoint	19	15	34	19	15
Dilkon	52	72	124	49	75
Dzil Yijiin	5	3	8	0	8
Kayenta	40	4	44	33	11
Shiprock	26	6	32	17	15
Ramah	24	2	26	22	4
To'hajiilee	1	11	12	5	7
Tuba City	9	10	19	8	11
Window Rock	40	18	58	36	22
<b>Caseload</b>	<b>289</b>	<b>182</b>	<b>471</b>	<b>225</b>	<b>246</b>

## IX. Judicial Branch Budgets and Expenditures

The Judicial Branch receives operating funds from two main sources of continual appropriations to provide court services within the Navajo Nation: Navajo Nation General Funds and Federal Funds.

### A. Navajo Nation General Funds

The 22<sup>nd</sup> Navajo Nation Council approved Resolution CS-47-13 for the FY2014 Comprehensive Budget from October 1, 2013, to September 30, 2014. This resulted in a budget allocation of \$13,933,812 (this appropriation includes \$231,000 from the Personnel Savings Fund and \$44,415 from the UUFB) for the Judicial Branch's FY2014 general funds operating budget. These are separated by 18 business units as follows:

<b>(1) Business Unit 102001 - Administrative Office of the Courts</b>							
Object Code	Description	Revised Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
1992	IDC Recovery	\$ 77,536.00	\$ -	\$ -	\$ -	\$ 77,536.00	0%
2000	Personnel Services	\$ 1,256,310.82	\$ 271,626.81	\$ -	\$ 271,626.81	\$ 984,684.01	22%
3000-7000	Operating Expenses	\$ 298,819.18	\$ 87,671.61	\$ 1,813.09	\$ 89,484.70	\$ 209,334.48	30%
	Carry Over	\$ (4,399.00)	\$ -	\$ -	\$ -	\$ (4,399.00)	0%
9000	Capital Outlay	\$ 4,399.00	\$ -	\$ -	\$ -	\$ 4,399.00	0%
	IDC Recovery	\$ (77,536.00)	\$ -	\$ -	\$ -	\$ (77,536.00)	0%
	Grand Total	\$ 1,555,130.00	\$ 359,298.42	\$ 1,813.09	\$ 361,111.51	\$ 1,194,018.49	23%

<b>(2) Business Unit 102002 - Chinle Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 857,792.00	\$ 210,061.05	\$ -	\$ 210,061.05	\$ 647,730.95	24%
3000-7000	Operating Expenses	\$ 100,274.00	\$ 12,943.57	\$ 4,148.55	\$ 17,092.12	\$ 83,181.88	17%
	Grand Total	\$ 958,066.00	\$ 223,004.62	\$ 4,148.55	\$ 227,153.17	\$ 730,912.83	24%

<b>(3) Business Unit 102003 - Crownpoint Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 697,711.00	\$ 153,825.15	\$ -	\$ 153,825.15	\$ 543,885.85	22%
3000-7000	Operating Expenses	\$ 98,052.00	\$ 11,621.74	\$ 3,853.38	\$ 15,475.12	\$ 82,576.88	16%
	Grand Total	\$ 795,763.00	\$ 165,446.89	\$ 3,853.38	\$ 169,300.27	\$ 626,462.73	21%

<b>(4) Business Unit 102004 - Window Rock Judicial District</b>							
Object Code	Description	Revised Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 907,360.00	\$ 222,869.49	\$ -	\$ 222,869.49	\$ 684,490.51	25%
3000-7000	Operating Expenses	\$ 68,005.00	\$ 13,073.47	\$ 679.68	\$ 13,753.15	\$ 54,251.85	20%
	Grand Total	\$ 975,365.00	\$ 235,942.96	\$ 679.68	\$ 236,622.64	\$ 738,742.36	24%

<b>(5) Business Unit 102005 - Shiprock Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 864,698.00	\$ 192,640.39	\$ -	\$ 192,640.39	\$ 672,057.61	22%
3000-7000	Operating Expenses	\$ 105,653.00	\$ 9,497.62	\$ 4,017.75	\$ 13,515.37	\$ 92,137.63	13%
	Grand Total	\$ 970,351.00	\$ 202,138.01	\$ 4,017.75	\$ 206,155.76	\$ 764,195.24	21%

<b>(6) Business Unit 102006 - Tuba City Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 678,650.00	\$ 169,613.93	\$ -	\$ 169,613.93	\$ 509,036.07	25%
3000-7000	Operating Expenses	\$ 85,036.00	\$ 11,225.28	\$ 2,296.44	\$ 13,521.72	\$ 71,514.28	16%
	Grand Total	\$ 763,686.00	\$ 180,839.21	\$ 2,296.44	\$ 183,135.65	\$ 580,550.35	24%

<b>(7) Business Unit 102007 - Ramah Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 490,796.00	\$ 116,196.46	\$ -	\$ 116,196.46	\$ 374,599.54	24%
3000-7000	Operating Expenses	\$ 72,171.00	\$ 11,216.89	\$ 645.85	\$ 11,862.74	\$ 60,308.26	16%
	Grand Total	\$ 562,967.00	\$ 127,413.35	\$ 645.85	\$ 128,059.20	\$ 434,907.80	23%

<b>(8) Business Unit 102008 - Supreme Court</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 792,170.00	\$ 165,167.25	\$ -	\$ 165,167.25	\$ 627,002.75	21%
3000-7000	Operating Expenses	\$ 105,395.00	\$ 21,407.98	\$ 3,567.69	\$ 24,975.67	\$ 80,419.33	24%
	Grand Total	\$ 897,565.00	\$ 186,575.23	\$ 3,567.69	\$ 190,142.92	\$ 707,422.08	21%

<b>(9) Business Unit 102009 - Peacemaking Program</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 637,270.00	\$ 158,827.99	\$ -	\$ 158,827.99	\$ 478,442.01	25%
3000-7000	Operating Expenses	\$ 406,043.00	\$ 99,361.98	\$ 32,217.82	\$ 131,579.80	\$ 274,463.20	32%
	Grand Total	\$ 1,043,313.00	\$ 258,189.97	\$ 32,217.82	\$ 290,407.79	\$ 752,905.21	28%

<b>(10) Business Unit 102010 - Kayenta Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 717,920.00	\$ 151,955.90	\$ -	\$ 151,955.90	\$ 565,964.10	21%
3000-7000	Operating Expenses	\$ 166,059.00	\$ 14,747.37	\$ 15,641.85	\$ 30,389.22	\$ 135,669.78	18%
	Grand Total	\$ 883,979.00	\$ 166,703.27	\$ 15,641.85	\$ 182,345.12	\$ 701,633.88	21%

<b>(11) Business Unit 102011 - Dilkon Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 608,087.00	\$ 129,704.30	\$ -	\$ 129,704.30	\$ 478,382.70	21%
3000-7000	Operating Expenses	\$ 97,638.00	\$ 15,640.15	\$ 13,797.92	\$ 29,438.07	\$ 68,199.93	30%
	Grand Total	\$ 705,725.00	\$ 145,344.45	\$ 13,797.92	\$ 159,142.37	\$ 546,582.63	23%

<b>(12) Business Unit 102012 - Aneth Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 480,113.00	\$ 120,915.17	\$ -	\$ 120,915.17	\$ 359,197.83	25%
3000-7000	Operating Expenses	\$ 95,137.00	\$ 16,649.03	\$ 16,117.73	\$ 32,766.76	\$ 62,370.24	34%
	Grand Total	\$ 575,250.00	\$ 137,564.20	\$ 16,117.73	\$ 153,681.93	\$ 421,568.07	27%

<b>(13) Business Unit 102013 - To'hajilee Court</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 473,480.00	\$ 116,624.49	\$ -	\$ 116,624.49	\$ 356,855.51	25%
3000-7000	Operating Expenses	\$ 84,620.00	\$ 17,148.72	\$ 21,255.73	\$ 38,404.45	\$ 46,215.55	45%
	Grand Total	\$ 558,100.00	\$ 133,773.21	\$ 21,255.73	\$ 155,028.94	\$ 403,071.06	28%

<b>(14) Business Unit 102014 - Alamo Court</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 74,863.00	\$ 18,983.85	\$ -	\$ 18,983.85	\$ 55,879.15	25%
3000-7000	Operating Expenses	\$ 72,508.00	\$ 9,238.27	\$ 11,536.54	\$ 20,774.81	\$ 51,733.19	29%
	Grand Total	\$ 147,371.00	\$ 28,222.12	\$ 11,536.54	\$ 39,758.66	\$ 107,612.34	27%

<b>(15) Business Unit 102015 - Pinon Judicial District</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 319,373.00	\$ 66,788.90	\$ -	\$ 66,788.90	\$ 252,584.10	21%
3000-7000	Operating Expenses	\$ 66,557.00	\$ 5,378.29	\$ 6,730.20	\$ 12,108.49	\$ 54,448.51	18%
	Grand Total	\$ 385,930.00	\$ 72,167.19	\$ 6,730.20	\$ 78,897.39	\$ 307,032.61	20%

<b>(16) Business Unit 102017 - Pueblo Pintado Circuit Court</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 290,660.00	\$ 71,361.83	\$ -	\$ 71,361.83	\$ 219,298.17	25%
3000-7000	Operating Expenses	\$ 37,374.00	\$ 1,414.98	\$ 629.90	\$ 2,044.88	\$ 35,329.12	5%
	Grand Total	\$ 328,034.00	\$ 72,776.81	\$ 629.90	\$ 73,406.71	\$ 254,627.29	22%

<b>(17) Business Unit 102018 - Probation Services</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 1,496,692.00	\$ 370,891.10	\$ -	\$ 370,891.10	\$ 1,125,800.90	25%
3000-7000	Operating Expenses	\$ 111,574.00	\$ 27,409.22	\$ 24,245.41	\$ 51,654.63	\$ 59,919.37	46%
	Grand Total	\$ 1,608,266.00	\$ 398,300.32	\$ 24,245.41	\$ 422,545.73	\$ 1,185,720.27	26%

<b>(18) Business Unit 118019 - Navajo Nation Integrated Justice Information System (Fixed Cost). 10/01/13 to 9/30/2014</b>							
Object Code	Description	Original Budget	1st Quarter Expenditures	Encumbrances	Year-To-Date Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 18,090.00	\$ -	\$ -	\$ -	\$ 18,090.00	0%
3000-7000	Operating Expenses	\$ 869,098.82	\$ 73,323.55	\$ 325,820.04	\$ 399,143.59	\$ 469,955.23	46%
	Grand Total	\$ 887,188.82	\$ 73,323.55	\$ 325,820.04	\$ 399,143.59	\$ 488,045.23	45%

## B. Federal Funds

<b>(1) Business Unit K060733</b>							
Object Code	Description	FY2006-FY2012 Contract Budget	Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 5,630,933.28	\$ 5,930,444.77	\$ -	\$ 5,930,444.77	\$ (299,511.49)	105%
3000-7000	Operating Expenses	\$ 1,859,097.45	\$ 1,871,787.69	\$ -	\$ 1,871,787.69	\$ (12,690.24)	101%
9000	Capital Outlay	\$ 614,697.27	\$ 302,495.54	\$ -	\$ 302,495.54	\$ 312,201.73	49%
	Grand Total	\$ 8,104,728.00	\$ 8,104,728.00	\$ -	\$ 8,104,728.00	\$ 0.00	100%

Bureau of Indian Affairs (K060733). Through Public Law 93-638 (Indian Self-Determination Act), the Bureau of Indian Affairs provided a multi-year contract to the tribal courts in calendar years 2006, 2007, 2008, 2009, 2010, 2011, and 2012. The expenditure report is from January 1, 2006, to December 31, 2012. The contract expired on December 31, 2012, and is pending official close out.

<b>(2) Business Unit K120725</b>							
Object Code	Description	Calendar Year 1/1/12 to 12/31/13 Original Budget	Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 2,175,785.01	\$ 1,632,449.33	\$ -	\$ 1,632,449.33	\$ 543,335.68	75%
3000-7000	Operating Expenses	\$ 1,079,204.99	\$ 320,582.34	\$ 105,288.84	\$ 425,871.18	\$ 653,333.81	39%
9000	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	-
	Grand Total	\$ 3,254,990.00	\$ 1,953,031.67	\$ 105,288.84	\$ 2,058,320.51	\$ 1,196,669.49	63%

Bureau of Indian Affairs (K120725). Through Public Law 93-638 (Indian Self-Determination Act), the Bureau of Indian Affairs approved a multi-year contract so that court services can be provided in calendar years 2012, 2013, 2014, 2015, and 2016. The expenditure report is from January 1, 2012, to December 31, 2013.

<b>(3) Business Unit K100803 - Peacemaker Youth Education Apprentice Program</b>							
Object Code	Description	10/1/09 to 9/30/14 Budget	Year-To-Date Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ -	\$ -	\$ -	\$ -	\$ -	
3000-7000	Operating Expenses	\$ 450,000.00	\$ 247,797.29	\$ 6,464.00	\$ 254,261.29	\$ 195,738.71	57%
	Grand Total	\$ 450,000.00	\$ 247,797.29	\$ 6,464.00	\$ 254,261.29	\$ 195,738.71	57%

<b>(4) Business Unit K110801 - Navajo Nation Integrated Justice Information Sharing Project</b>							
Object Code	Description	10/3/10 to 9/30/14 Budget	Year-To-Date Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ -	\$ -	\$ -	\$ -	\$ -	
3000-7000	Operating Expenses	\$ 420,020.00	\$ 70,492.07	\$ -	\$ 70,492.07	\$ 349,527.93	17%
9000	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	
9710	Indirect Cost (IDC)	\$ 75,812.00	\$ 11,947.22	\$ -	\$ 11,947.22	\$ 63,864.78	16%
	Grand Total	\$ 495,832.00	\$ 82,439.29	\$ -	\$ 82,439.29	\$ 413,392.71	17%

<b>(5) Business Unit K120801 - Alamo/Tohajiilee Youth Court Substance Abuse Prevention Initiative</b>							
Object Code	Description	10/1/11 to 9/30/14 Budget	Year-To-Date Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 286,455.00	\$ -	\$ -	\$ -	\$ 286,455.00	0%
3000-7000	Operating Expenses	\$ 211,911.00	\$ 6,840.41	\$ -	\$ 6,840.41	\$ 205,070.59	3%
9000	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	
9710	Indirect Cost (IDC)	\$ -	\$ -	\$ -	\$ -	\$ -	
	Grand Total	\$ 498,366.00	\$ 6,840.41	\$ -	\$ 6,840.41	\$ 491,525.59	1%

<b>(6) Business Unit K120802 - Aneth/Alamo/Tohajiilee Community Wellness Courts</b>							
Object Code	Description	10/1/11 to 9/30/14 Budget	Year-To-Date Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 320,517.00	\$ 46,387.70	\$ -	\$ -	\$ 274,129.30	0%
3000-7000	Operating Expenses	\$ 105,401.00	\$ 712.96	\$ -	\$ 712.96	\$ 104,688.04	1%
9000	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	
9710	Indirect Cost (IDC)	\$ 72,380.00	\$ 6,602.21	\$ -	\$ 6,602.21	\$ 65,777.79	9%
	Grand Total	\$ 498,298.00	\$ 53,702.87	\$ -	\$ 7,315.17	\$ 444,595.13	1%

<b>(7) Business Unit K120810 - JAG Juvenile Peacemaking Project</b>							
Object Code	Description	10/1/11 to 9/30/15 Budget	Year-To-Date Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ -	\$ -	\$ -	\$ -	\$ -	
3000-7000	Operating Expenses	\$ 73,497.00	\$ -	\$ -	\$ -	\$ 73,497.00	0%
9000	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	
9710	Indirect Cost (IDC)	\$ -	\$ -	\$ -	\$ -	\$ -	
	Grand Total	\$ 73,497.00	\$ -	\$ -	\$ -	\$ 73,497.00	0%

<b>(8) Business Unit K1205113- Peacemaking Toward Asset - Building in Navajo Nation Rural Communities</b>							
Object Code	Description	9/30/12 to 9/30/13 Budget	Year-To-Date Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 60,403.00	\$ 40,607.81	\$ -	\$ 40,607.81	\$ 19,795.19	67%
3000-7000	Operating Expenses	\$ 64,898.00	\$ 5,965.31	\$ -	\$ 5,965.31	\$ 58,932.69	9%
9710	Indirect Cost (IDC)	\$ 21,301.00	\$ -	\$ -	\$ -	\$ 21,301.00	0%
	Grand Total	\$ 146,602.00	\$ 46,573.12	\$ -	\$ 46,573.12	\$ 100,028.88	32%

<b>(9) Business Unit K130591- Peacemaking Toward Asset - Building in Navajo Nation Rural Communities</b>							
Object Code	Description	10/01/13 to 9/30/14 Budget	Year-To-Date Expenditures	Encumbrances	Expenditures & Encumbrances	Fund Balance	% Spent
2000	Personnel Services	\$ 65,753.00	\$ -	\$ -	\$ -	\$ 65,753.00	0%
3000-7000	Operating Expenses	\$ 57,980.00	\$ -	\$ -	\$ -	\$ 57,980.00	0%
9710	Indirect Cost (IDC)	\$ 21,035.00	\$ -	\$ -	\$ -	\$ 21,035.00	0%
	Grand Total	\$ 144,768.00	\$ -	\$ -	\$ -	\$ 144,768.00	0%



## X. Judicial Branch Fines and Fees Collection

### A. District Court Fines and Fees Collection

DISTRICT COURT FINES AND FEES COLLECTION	FY2014 1st Quarter	FY2014 2nd Quarter	FY2014 3rd Quarter	FY2014 4th Quarter	TOTAL
Chinle	\$ 2,073.85				\$ 2,073.85
Crownpoint	\$ 2,242.15				\$ 2,242.15
Kayenta	\$ 2,003.83				\$ 2,003.83
Ramah	\$ 553.95				\$ 553.95
Shiprock	\$ 4,605.45				\$ 4,605.45
Tuba City	\$ 2,373.30				\$ 2,373.30
Window Rock	\$ -				\$ -
Dilkon	\$ 1,137.40				\$ 1,137.40
Aneth	\$ 621.41				\$ 621.41
Dzil Yijjin (Pinon)	\$ 410.15				\$ 410.15
Alamo	\$ 193.15				\$ 193.15
To'hajiilee	\$ 43.80				\$ 43.80
Supreme Court	\$ 1,130.00				\$ 1,130.00
<b>TOTAL</b>	<b>\$ 17,388.44</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 17,388.44</b>

### B. District Court Traffic Fines Collection

DISTRICT COURT TRAFFIC FINES COLLECTION	FY2014 1st Quarter	FY2014 2nd Quarter	FY2014 3rd Quarter	FY2014 4th Quarter	TOTAL
Alamo	\$ 377.50				\$ 377.50
Chinle	\$ 11,160.00				\$ 11,160.00
Crownpoint	\$ 8,352.01				\$ 8,352.01
Kayenta	\$ 2,670.00				\$ 2,670.00
Ramah	\$ 2,812.50				\$ 2,812.50
Shiprock	\$ 21,252.50				\$ 21,252.50
To'hajiilee	\$ 579.50				\$ 579.50
Tuba City	\$ 8,460.50				\$ 8,460.50
Window Rock	\$ -				\$ -
Dilkon	\$ 1,045.00				\$ 1,045.00
Aneth	\$ 1,520.00				\$ 1,520.00
Dzil Yijjin (Pinon)	\$ 525.00				\$ 525.00
<b>TOTAL</b>	<b>\$ 58,754.51</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 58,754.51</b>

### C. Family Court Fines and Fees Collection

FAMILY COURT FINES & FEES COLLECTION	FY2014 1st Quarter	FY2014 2nd Quarter	FY2014 3rd Quarter	FY2014 4th Quarter	TOTAL
Alamo Family Court	\$ 160.00				\$ 160.00
Chinle Family Court	\$ 1,460.00				\$ 1,460.00
Crownpoint Family Court	\$ 1,571.19				\$ 1,571.19
Kayenta Family Court	\$ 628.35				\$ 628.35
Ramah Family Court	\$ 65.00				\$ 65.00
Shiprock Family Court	\$ 3,643.50				\$ 3,643.50
To'hajiilee Family Court	\$ 115.00				\$ 115.00
Tuba City Family Court	\$ 940.00				\$ 940.00
Window Rock Family Court	\$ -				\$ -
Dilkon Family Court	\$ 755.00				\$ 755.00
Aneth Family Court	\$ 380.00				\$ 380.00
Dzil Yijjin (Pinon) Family Court	\$ 330.00				\$ 330.00
<b>TOTAL</b>	<b>\$ 10,048.04</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 10,048.04</b>

### D. Summary - Fines and Fees Collection

SUMMARY FINES & FEES COLLECTION	FY2014 1st Quarter	FY2014 2nd Quarter	FY2014 3rd Quarter	FY2014 4th Quarter	TOTAL
TOTAL DISTRICT COURT FINES & FEES COLLECTION	\$ 17,388.44				\$ 17,388.44
TOTAL TRAFFIC COLLECTION	\$ 58,754.51				\$ 58,754.51
TOTAL FAMILY COURT FINES & FEES COLLECTION	\$ 10,048.04				\$ 10,048.04
ADJUSTMENTS	\$ (0.50)				\$ (0.50)
<b>TOTAL</b>	<b>\$ 86,190.49</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 86,190.49</b>